

A Review of CO<sub>2</sub> Storage Integrity and Fault Zone Risk



## **SpringerBriefs in Earth System Sciences**

#### **Series Editors**

Gerrit Lohmann, Universität Bremen, Bremen, Germany

Justus Notholt, Institute of Environmental Physics, University of Bremen, Bremen, Germany

Jorge Rabassa, Labaratorio de Geomorfología y Cuaternar, CADIC-CONICET, Ushuaia, Argentina

Vikram Unnithan, Department of Earth and Space Sciences, Jacobs University Bremen, Bremen, Germany

SpringerBriefs in Earth System Sciences present concise summaries of cutting-edge research and practical applications. The series focuses on interdisciplinary research linking the lithosphere, atmosphere, biosphere, cryosphere, and hydrosphere building the system earth. It publishes peer-reviewed monographs under the editorial supervision of an international advisory board with the aim to publish 8 to 12 weeks after acceptance. Featuring compact volumes of 50 to 125 pages (approx. 20,000—70,000 words), the series covers a range of content from professional to academic such as:

- A timely reports of state-of-the art analytical techniques
- bridges between new research results
- snapshots of hot and/or emerging topics
- literature reviews
- in-depth case studies

Briefs are published as part of Springer's eBook collection, with millions of users worldwide. In addition, Briefs are available for individual print and electronic purchase. Briefs are characterized by fast, global electronic dissemination, standard publishing contracts, easy-to-use manuscript preparation and formatting guidelines, and expedited production schedules.

Both solicited and unsolicited manuscripts are considered for publication in this series.

# Yves Guglielmi

# A Review of CO<sub>2</sub> Storage Integrity and Fault Zone Risk



Yves Guglielmi Earth and Environment Sciences Area Lawrence Berkeley National Laboratory Berkeley, CA, USA

ISSN 2191-589X ISSN 2191-5903 (electronic) SpringerBriefs in Earth System Sciences ISBN 978-3-031-81528-7 ISBN 978-3-031-81529-4 (eBook) https://doi.org/10.1007/978-3-031-81529-4

@ The Editor(s) (if applicable) and The Author(s), under exclusive license to Springer Nature Switzerland AG 2025, corrected publication 2025

This work is subject to copyright. All rights are solely and exclusively licensed by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, expressed or implied, with respect to the material contained herein or for any errors or omissions that may have been made. The publisher remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

This Springer imprint is published by the registered company Springer Nature Switzerland AG The registered company address is: Gewerbestrasse 11, 6330 Cham, Switzerland

If disposing of this product, please recycle the paper.

### **Preface**

With the growing concern in climate change, governments and industries are intensifying their efforts to plan excess  $CO_2$  storage in geological traps located at several kilometers below the surface. A successful implementation of CCS relies on storing a large amount of  $CO_2$  in multiple storage sites while maintaining storage safe over the long term. Geological faults that are ubiquitous throughout the earth's crust display an extremely complex and large variety of structures and evolutions through time. It is reasonable to imagine that, given the scale of the future  $CO_2$  storage efforts, among a majority of silent faults some will rupture, causing earthquakes and  $CO_2$  flow by-pass the geological traps.

Fault rupture requires sophisticated concepts that were developed from different research disciplines such as Hydrogeology, Mechanics and Seismology. In addition, it appears that several concepts must be combined with each other to best figure the multiple interacting hydromechanical and chemical processes of fault rupture. Beyond the concepts, fault rupture spans over a broad range of time scales, from a few seconds for an earthquake to several days and years for a slow rupture.

All this complexity in the fault physics makes it difficult for the choices to simplify the problem of estimating the fault risk associated to  $\mathrm{CO}_2$  storage. The first motivation of this book is to put together key research results on fault mechanics, hydrogeology and induced seismicity that are relevant to  $\mathrm{CO}_2$  storage. The second motivation is to provide in the same book a detailed enough physics of fault leakage and induced seismicity, by confronting relevant theories on fault rupture with experimental results from laboratory scale and from mesoscale field experiments.

For the above reasons, we expect this book to be considered as useful by students, scientists and engineers in their attempts to better consider fault zones at CO<sub>2</sub> storage scales.

Berkeley, USA Yves Guglielmi

The original version of the book has been revised. A correction to this book can be found at https://doi.org/10.1007/978-3-031-81529-4\_6

### Acknowledgments

The author would like to thank the following reviewers of this book for their fruitfull comments:

Jens Birkholzer, Lawrence Berkeley National Laboratory, Berkeley, CA 94720, USA

Jonny Rutqvist, Lawrence Berkeley National Laboratory, Berkeley, CA 94720, USA

Frederic Cappa, Géoazur (UMR 7329), University of Nice Sophia-Antipolis, CNRS, IRD, Côte d'Azur Observatory, 06560 Sophia-Antipolis, France

Utkarsh Mital, Lawrence Berkeley National Laboratory, Berkeley, CA 94720, USA

The author would also like to thank the following LBNL colleagues for the discussions that helped him a lot in the writing of this book: Abdullah Cihan, Stanislav Glubokovskikh, Meng Cao, Matthew Reagan and Preston Jordan

The author would like to thank the funding provided by the U.S. Department of Energy under contract FP00015629, titled 'Managing a Gigatonne CCS Future: A Framework for Basin-Scale Storage Optimization Based on Geomechanical Studies,' and under Proposal Number FP00014702, titled 'National Risk Assessment Partnership (NRAP) Phase III'.

### Introduction

After decades of research on carbon capture and storage (CCS), the world needs to finally move from pilot tests and demonstration experiments to industrial-scale implementation (Birkholzer et al., 2015; Shu et al., 2023). In different countries, CCS deployment over the coming years and decades will likely create multiple large storage projects (or clusters of integrated projects, or hubs) across selected sedimentary basins. These projects will involve the injection of substantial volumes of CO<sub>2</sub>, leading to large-scale pressure increases, accompanied by associated stress perturbations within the subsurface (Nicot, 2008; Birkholzer and Zhou, 2009; Zhou et al., 2010). These activities may potentially cause unwanted geomechanical effects, such as the formation of leakage pathways in the caprock or the seismic reactivation of critically stressed faults (Rutqvist et al., 2012; Rutqvist et al., 2016; Zoback and Gorelick, 2012; Ellsworth, 2013; Vilarrasa et al., 2019).

Fault reactivation can be a concern even if the large-scale pressure changes are smaller than the pressure thresholds (i.e., fracturing pressure) typically employed to ensure that local injection pressures do not cause geomechanical damage in the reservoir and the confining units (Rutqvist et al., 2007). It follows that large-scale pressure buildup can be a limiting factor for sequestration capacity (Thibeau et al., 2014), because the possibility of pressure-related impacts of individual projects needs to be considered. Furthermore, in a future world with CCS being a fully deployed technology, sedimentary basins with interconnected reservoirs might host multiple storage sites between which pressure interference can be expected (Birkholzer and Zhou, 2009; Zhou et al., 2010), potentially adding a further concern for storage security and further constraints on storage capacity.

In this review, we explore how the complexity of the rheological heterogeneity of faults is considered in generating permeable pathways for brine or  $CO_2$  to flow out of the storage reservoir along the faults. We focus on the potential for flow paths to grow along fault zones and trigger the loss of integrity of caprocks sealing the storage reservoir. We define this as the fault leakage risk. We also explore how building pore pressure while storing supercritical  $CO_2$  into a reservoir layer can generate seismicity around and far deeper from the storage volume in the basement of the sedimentary basin. We define this as the seismic risk induced by  $CO_2$  storage. We focus on fault

x Introduction

zones affecting sedimentary basin and their upper basement limit, i.e., the behavior of faulted layered lithostratigraphic systems between the surface and perhaps 6–8km depth. We first provide an overview of the current workflows and concepts used to estimate both leakage risk through faults across caprocks and changes in seismicity rates caused by fluid injections (Chap. 1). We discuss how these workflows integrate simplified fault zones physics based on clay content, friction and stress or stress rates to describe the seismic activation and leakage of faults.

In Chap. 2, we go deeper into the geomechanics of basin faults and on the implications for CO<sub>2</sub> storage at scale. One key question is the potential effect of brittleness and of brittle-ductile limits on faults within basins. We discuss how the presence of faults within clay-rich caprocks affects their frictional dilatant properties, which in turn alters their leakage and seismogenic potentials. We furthermore explore various constitutive relationships for the plastic deformation of faults and how to relate contraction/dilation with softening/hardening leading to stable/unstable fault slip. Specifically, we propose that a Cam-Clay model may provide a more general mechanical framework compared to a Coulomb model for describing a large variability of fault activation scenarios.

Chapter 3 is a review of all factors influencing permeability change at fault rupture. This chapter is focused on the complex coupling between fault mechanics and permeability. We first review different laboratory-scale experiments and compare these to fault permeability measurements from field-scale experiments. We observe that fault permeability tends to drastically decrease with shear at laboratory scale while a much smaller-to-no decrease is observed in the field. We finally propose a new conceptual model for the fault permeability evolution with slip and discuss how it can be considered in constitutive relationships for the coupled hydromechanical behavior of faults.

Chapter 4 is dedicated to induced seismicity. It appears that induced seismicity is currently modeled using one dominant approach based on the physics of rate-and-state friction (Dieterich, 1972, 1979; Marone, 1998). We review the key hypotheses and concepts of this theory and describe how it has been applied to model changes in natural seismicity rates related to seismicity rates due to CO<sub>2</sub> injections at basin scale. Then, we compare this physics based on friction with another model one based on plastic instability, referred to as Cam-Clay model. This plastic approach is relatively new and has never really been tested for basin scale injections. Based on an extension of the Cam-Clay model to dynamic processes, we particularly explore how slow slip initiated on a fault away from a CO<sub>2</sub> storage project can accelerate with time into an earthquake rupture. We use existing codes from the literature to estimate if this is possible when considering a basin fault initially mechanically stable and relatively far from critical state of stress.

We conclude this review by asking what the hydromechanical behavior of faults as discussed above means for carbon storage management at basin scale. We propose a general framework for assessing these impacts which we hope will help unify leakage and induce seismicity workflows in the future.