Ken M. Harrison

Astronomical Spectroscopy for Amateurs



Patrick Moore's Practical Astronomy Series

For other titles published in this series, go to http://www.springer.com/series/3192



Ken M. Harrison



Ken M. Harrison Cobham, UK

Additional material to this book can be downloaded from http://extras.springer.com

ISSN 1431-9756 ISBN 978-1-4419-7238-5 DOI 10.1007/978-1-4419-7239-2 Springer New York Dordrecht Heidelberg London

© Springer Science+Business Media, LLC 2011

All rights reserved. This work may not be translated or copied in whole or in part without the written permission of the publisher (Springer Science+Business Media, LLC, 233 Spring Street, New York, NY 10013, USA), except for brief excerpts in connection with reviews or scholarly analysis. Use in connection with any form of information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed is forbidden.

The use in this publication of trade names, trademarks, service marks, and similar terms, even if they are not identified as such, is not to be taken as an expression of opinion as to whether or not they are subject to proprietary rights.

Printed on acid-free paper

Springer is part of Springer Science+Business Media (www.springer.com)



There have been three significant milestones in the history of observational astronomy: the invention of the telescope, photography, and the spectroscope. The development of the spectroscope has contributed more to the science than any other telescope accessory. It has been said that 85% of all astronomical discoveries have been made with the spectroscope.

Probably due to the perception that lots of mathematics and calculations are involved, plus the fact that it doesn't have the "Ohh" or "Ahh" impact of some of the spectacular astronomical images now being regularly distributed on the forums and websites, spectroscopy is an area that has been long overlooked and neglected by the amateur. By using amateur telescopes, mountings, and CCD cameras currently available, this book will show how, with the addition of a simple spectroscope we can observe and record spectra that reveal the temperature, composition, and age of stars, the nature of the glowing gases in nebulae, and even the existence of other exoplanets circling around distant stars.

The basic challenge facing the novice is where to start. What equipment will I need? Where can I find a spectroscope? How do I process the CCD image? How do I analyze my first spectrum? These questions and more are addressed in this book. Up to date information on equipment, spectroscopes, and methods available to the amateur, and more importantly "How to..."are all included in this book.

There are three basic sections in this book:

- 1. Introduction to Spectroscopy. This part provides a brief overview of the history of spectroscopy, the theory behind the spectrum lines, and types of spectroscopes.
- 2. Obtaining and Analyzing Spectra. Tells how to set up and use your spectroscope; describes different commercially available spectroscopes, cameras, and CCD's; explains how to analyze your spectra; and presents some interesting amateur projects.

3. Spectroscope Design and Construction. Here you will find basic spectroscope design ideas and how to construct your own spectroscope.

Each section is independent of the other, so if you want to jump straight into taking your first spectrum, go to the second section and get started!

"Spectroscope" is the generic term for visual spectroscopes, spectrographs (imaging), and spectrometers (linear CCD measuring devices). (Telescopes are not given different names when used with eyepieces, cameras, or filters, so why should spectroscopes?!)

Units of measure are always an issue of debate. Both the SI unit nanometer (nm) and Angstrom units (Å) are widely used in spectroscopy as a measure of wavelength, as are measurements and sizes in millimeters rather than inches.

As you gain practice and experience you may want to increase the resolution of your spectroscope, contribute to the ever-growing list of amateur and pro-am projects, or even construct your own spectroscope. The various sections in this book will guide you through the issues and hopefully answer your questions on all the different aspects of spectroscopy. It's a new and challenging field for amateurs, and with even the most basic of equipment it can be interesting, thought provoking, and most of all fun!

Cobham, UK February 2010 Ken M. Harrison



PART ONE Introduction to Spectroscopy

1	Early Experiments in Spectroscopy	3
	For Further Reading	8
	Web Pages	8
2	A History of Astronomical Spectroscopy	9
	Further Reading	14
	Web Pages	14
3	Theory of Spectra	15
	Kirchhoff's Laws	15
	Black Body Radiation	16
	Quantum Theory	17
	Forbidden Lines.	18
	Doppler and Red Shifts	20
	Solar Spectrum	21
	Stellar Spectra	22
	The H-R Diagram	22
	HD Classifications	23
	Standard Spectral Lines and Reference Spectra	25
	Flouro Spectrum	26
	Other Useful Spectral Reference Lines	26
	Airy Disk, Rayleigh Limits, and FWHM, PSF	28

	Focus and Exit Pupil Exit Pupil and Eye Relief	31 33
		33
	Further Reading	
	Web Pages	34
4	Prisms, Gratings and Spectroscopes	35
	Dispersion, Plate Scale, and Resolution	35
	Efficiency of Your Spectroscope	36
	Prisms	37
	Resolution	38
	Efficiency of a Prism Spectroscope	38
	Amici Prism Systems	39
	Gratings	39
	Grating Theory	40
	Further Reading	44
	Web Pages	44
5	Types of Spectroscopes	45
	Objective Prism or Grating	45
	Prism with Collimator/Camera-CCD	46
	Traditional Prism Littrow	46
	Transmission Grating in Converging Beam	47
		48
	Transmission Grating in Collimated Beam (with or without Slit)	10
	Grisms	48
	Reflection Grating (with or without Slit)	49
	Further Reading	53
	Web pages	53

PART TWO Obtaining and Analyzing Spectra

6	Setting Up the Spectroscope	57
	Objective Prisms/Gratings	57
	Camera Lenses	57
	Telescopes	57
	Mounting the Objective Prism/Grating	58
	Objective Prisms	58
	Objective Gratings	58
	Adjustments and Focus	60
	Prisms	60
	Gratings	61
	Flats and Darks	63
	Web Pages	63
7	Using Spectroscopes in the Converging Beam	65
	Obtaining Your First Spectrum	67
	Points to Note	67

viii

	Camera Response vs. Recorded Spectra Using Other Transmission Gratings	68 69
	Using Gratings in a Collimated Beam	70
		70
	Web Pages	12
8	Reflection Grating Spectroscopes	73
	Mounting the Spectroscope	74
	Getting a Star Focused on the Slit	76
	Beamsplitters and Non-reflective Slits	77
	SBIG Software Controlled Spectroscopes	77
	Baader Dados Spectrograph	79
	Shelyak's LhiresIII	80
	Questar QMax Solar Spectrometer	81
	Other Spectroscopes	82
	The eShel Spectrograph from Shelyak	82
	The Baader Baches Echelle Spectroscope	83
	The Optomechanics 10 C	84
	Remote Control of Spectroscopes	84
	Web Pages	85
9	Cameras and CCD's	87
	CCD Chip Size	87
	Pixel Size	87
	Quantum Efficiency	89
	Bayer Matrix	89
	Bias, Darks, and Flats	92
	Spectroscope Flats	93
	Further Reading	95
	Web Pages	95
10	Processing Spectra	97
	Preparing the Raw Image for Processing	98
	Grating Spectra: Linear Dispersion	98
	Smile and Tilt.	99
	Visual Spec (VSpec)	100
	Standard Stellar Spectra	100
	Standard Element Lines	101
	Obtaining a Spectral Pixel Profile	101
	Wavelength Calibration	102
	Camera Response	104
	Correcting Spectra Using the Camera Response Curve	107
	Using the CCD QE Curves – Response Curve	107
	Calibrating Using a Reference Lamp	108
	Resolution	108
	Normalized Spectrum	108
	Signal to Noise Ratio (SNR)	108

ix

	Continuum Removal	109
	Equivalent Width (LEQ)	109
	Web Pages	109
11	Amateur Spectroscope Projects	111
	Solar Spectrum	111
	Astronomical Filters	112
	Stellar Classification	114
	Emission Stars (Be and WR)	114
	Doppler Shift – Binary Stars/Exoplanets/Quasars	117
	Variable Stars, Nova, and Supernovae	119
	Nebulae	121
	Comets	123
	Hα Observing of Solar Prominences	124
	Meteors	125
	Planetary Spectroscopy	127
	Further Reading	129
	Web Pages	129

PART THREE Spectroscope Design and Construction

x

12	Design Basics	133
	The Entrance Slit	135
	Classic Semi-fixed Entrance Slit	138
	Adjustable Entrance Slits	139
	Commercial Slits	139
	Setting the Slit Gap	140
	Projection Method	141
	Other Slit Alternatives	142
	Photographic Negative for Slit	142
	The Collimator	143
	The Prism as a Dispersion Element	144
	Resolution	147
	Abbe Numbers	148
	Gratings as a Dispersion Element	148
	Blazed Gratings	148
	Anamorphic Factor	150
	The Imaging Lens	151
	The Eyepiece/Camera/CCD	152
	Attaching and Focusing the Camera/CCD	153
	Spectroscope Design Summary	153
	Assumptions	155
	Calculations	156
	Reference Lamps	159
	Testing and Calibrating Spectroscopes	160

	Laser Collimator	161 162 162 163
	Web Pages	165
13	Prism Spectroscope Designs	167 167 169
	Prisms in Collimated Beams	169
	Traditional Prism Spectroscope	171
	Classic Littrow Prism Spectroscope	172
	Efficiency of a Prism Spectroscope	173
	Further Reading.	173
	Web Pages	173
14	Transmission Grating Spectroscope Designs	175
11	Objective Gratings	175
	Converging Beam Arrangement	175
	Aperture Mask	181
	Adding a Grism	182
	Adding a Slit	184
	Mounting Other Transmission Gratings in a Converging Beam	186
	Improving Resolution with a Collimator Lens	188
	Using a Barlow Lens as a Collimator	189
	Watkis Transmission Grating Spectroscope	189
	Tragos Design	190
	LORIS Design	192
	Further Reading	193
	Web Pages	193
15	Reflection Grating Spectroscope Designs	195
	Classic Design	195
	Construction Notes	196
	Grating Adjustments	198
	Dust	198
	Littrow Design	198
	Construction Notes	199
	Grating Housing and Adjustment	200
	Camera/Focuser Reference Light Source	201 202
	Slit Back Light Arrangement	202
	WPO Design	203
	Ebert-Fastie Design	205
	Czerny-Turner Design	203
	Echelle Spectroscopes	209
	· · · · · · · · · · · · · · · · · · ·	/

xi

	Fiber Optics	210
	Aperture Ratio, F/Ratio, and Numerical Aperture (NA)	211
	Fiber Optic Applications	211
	Other Amateur Designs	212
	Mete	212
	Kaye	214
	Glumac	217
	Bareges CCD Spectrograph (Rondi/Buil)	217
	Further Reading.	217
	Web Pages	217
16	0	210
16	Guiding, OAG, Beamsplitters, and Flip Mirrors	219
	Off-Axis Guiders	219
	Beamsplitter Spectroscope Applications	219
	Modifying a Vixen Flip Mirror to a Beamsplitter	220
	Fitting and Adjusting the Beamsplitter Plate	223
	Using the Beamsplitter	224
	Dove Prism as Beamsplitter	224
	Transfer Systems for Guide Cameras	226
	Split Mirror Guider	226
	Further Reading	228
	Web Pages	228
App	endix A	229
	Suppliers of Spectroscopes and Accessories	229
	Star Analyser Grating	229
	Rainbow Optics Star Spectroscope	229
	SGS and DSS-7 Spectroscopes	229
	Shelyak Lhires III	230
	Baader Dados Spectrograph	230
	Rigel Systems.	230
	QMax Spectrograph	230
	Gratings	230
	Prisms	231
	Entrance Slits	231
	Lenses and Mirrors	231
	Electroluminescent Panels	231
		231
App	endix B	233
	Useful Spectroscopy Forums and Other Websites	233
Ann	endix C	235
۲۲	Selected Bibliography	235
App	endix D	237
	Extras	237
Ind	ex	239

xii



A keen amateur astronomer, Ken Harrison was born in Scotland where he trained as a mechanical engineer. He has been designing and building telescopes since the early 1960's and has built a series of spectroscopes for use on medium sized amateur telescopes. He was Section Director of the Astronomical Society of Victoria, Australia, Astrophotographic Section for 10 years and past President of the Society. Ken's university thesis (and his first publication) was *Design and Construction of the Isaac Newton 98-inch Telescope* (Strathclyde University, 1970). Since then he has published articles on optical design including "Blink Comparison" (BAA Journal Vol 87, p 94) and "Method of Radially Supporting Large Mirrors" (Vol 87, p 154). He has made contributions to the Astronomical Society of Victoria Newsletter and was for 3 years the Editor of the "N'Daba" newsletter of the Natal Centre, Astronomical Society of Southern Africa.

PART ONE



CHAPTER ONE

Early Experiments in Spectroscopy

To the ancient Greeks and other philosophers around the world, light was allpervasive and a medium connecting visible objects. Aristotle's view of light was something all bodies could have, similar to the element of fire. Early religions associated light with the Sun (as well as heat and life-giving energy) and accepted that it was a gift from the gods. Robert Grosseteste in the early thirteenth century declared light to be the "prima material," the original substance from which the universe was made, "for every natural body has in itself a celestial luminous nature and luminous fire."

The rainbow should have given philosophers cause to ponder more about the nature of light. Instead they rationalized it to be a sign from the gods. For Christians, it was God's covenant that never again would there be a flood like that experienced by Noah (see Fig. 1.1).

Al Farisi and Theodoric of Freiberg around A. D. 1300 showed how the geometry of the raindrop could produce a rainbow, but it wasn't until much later, in 1670, that Isaac Newton (1642–1727) applied his scientific reasoning to the analysis of the Sun's spectrum. He allowed a small beam of sunlight to go through his prism and produce a spectrum. With this he showed that white light is made up of many colors. The original red, yellow, green, blue, and violet were augmented by orange and indigo (to make up a "perfect seven"). ROY G BIV has become our rainbow ever since.

With his experiments in "refraction" Newton concluded that lenses would never give a color-free image (chromatic aberration) and went on to develop his reflecting telescopes as an alternative (see Fig. 1.2).

After Newton there was a hiatus in the scientific use of prisms, and it wasn't until 1750 that a Scot, Thomas Melvill (1726–1753) noted that by adding "burning spirit" to his candle, his prism showed a band of yellow light. At about the same time, John





4

Figure 1.1. A Lesson In The Rainbow, late fourteenth-century manuscript of "De proprietatibus rerum." (On the Property of Things) by Bartholomaeus Anglicus.





1 Early Experiments in Spectroscopy

Dollond (1706–1761), an English optician, appreciated that not all glass dispersed light by the same amount; he found (in 1758) that by combining a clear crown glass and a leaded flint glass that the chromatic aberrations could be significantly reduced. Here we have the first evidence of appreciation that the spectrum produced by different types of glass was different enough to be useful.

There is very little recorded evidence of other spectral experiments in the 1700's until William Herschel (1738–1822) was finally persuaded by his colleague William Watson (1715–1787) to experiment with a prism to view the spectra of the brighter stars. In 1797 he recorded the distribution and intensity of the various colors in the spectra, but did not pursue the interest.

William Hyde Wollaston (1766–1828) improved his view of the solar spectrum by adding an "elongated crevice, 1/20'' wide" between the prism and the Sun. This showed him seven distinct dark lines in the spectrum (1802); these he associated with the "natural boundaries" between the colors. Such was the state of knowledge in the early 1800's.

Label	Wavelength (nm)	Source
A-band	759.4-762.1	Atmospheric O ₂
B-band	686.7-688.4	Atmospheric O ₂
С	656.3	Ηα
a-band	627.6-628.7	
D1	589.6	Na I
D2	589.0	Na I
E	526.9	Fe I
b1	518.4	Mg I
b2	517.3	Mg I
с	495.8	Fel
F	486.1	Ηβ
d	466.8	
е	438.3	Fe I
f	434.0	Hγ
G	430.8	Ca/Fe (430.77/430.79)
g	422.7	Cal
h	410.2	Ηδ
Н	396.8	Ca II
К	393.3	Ca II

Fraunhofer Lines

5

Josef von Fraunhofer (1787–1826) took up the challenge to measure the dispersion of the glass he was producing. At this time there was very little scientific analysis carried out in the manufacture of glass; making glass was an art, not a science. There was a degree of trial and error every time!

6

By making small prisms from each batch of glass and measuring and recording the dispersion, Fraunhofer could quickly ensure that the process was giving the outcome he needed. This allowed him to manufacture the best objectives of the time, and his large telescopes were the envy of all astronomers. He designed and built the 9.5" Great Dorpat Refractor in 1824, mounting it on his new "German Equatorial mount."

To improve his measuring device, which he called a "spectroscope," he added a slit in front of the collimating lens; this gave a cleaner image of the spectrum. He also noticed that sunlight, when dispersed by his prisms, always seemed to have dark lines in the same positions, and eventually, in 1815, he mapped some 324 lines; the more prominent ones are still called "Fraunhofer lines". See Fig. 1.3. Although he didn't understand how these lines were produced, he made good use of them as "standard wavelengths" for his optical testing.



Figure 1.3. Fraunhofer's spectrum. (Photo courtesy of NASA.)

Fraunhofer also placed a prism in front of his telescope objective (in 1823) and observed the stars and planets. He noted that similar lines appeared in the stellar spectra. This very first objective prism is currently on display in the Deutsches Museum in Munich. See Fig. 1.4.

To further improve his spectral measurements he developed the diffraction grating. Early examples were made by winding fine wire around a frame. With these

1 Early Experiments in Spectroscopy





gratings, which gave the spectrum a more uniform scale, it was easier to accurately measure the position of the various dark lines.

It can therefore be said that Fraunhofer was certainly the father of spectroscopy. He invented and developed the spectroscope to the stage that it could be used as a scientific tool to view and measure spectra.

Giovanni Amici (1786–1863), director of the Florence Observatory in Italy, employed a combination of crown and flint prisms in his dispersion prism arrangement that effectively gave a straight through, undeviated spectrum. This "Amici prism" design was widely used in subsequent Direct Vision star spectroscopes.

It was in 1859 that Gustav Kirchhoff (1824–1887) and Robert Bunsen (1811–1899) finally solved the problem of the dark (and bright) lines in the spectrum. Their experiments showed conclusively that various elements gave rise to their own unique bands (always in the same position) in the spectrum. With this

7





connection now established many chemists took up the challenge and established a database of element lines.

Kirchhoff, in furthering his investigations, developed his three laws:

- (1) An incandescent solid or a gas under high pressure will produce a continuum spectrum.
- (2) An incandescent gas under low pressure will produce an emission-line spectrum.
- (3) A continuous spectrum viewed through a low-density gas at low temperature will produce an absorption-line spectrum.

The era of scientific research had begun. No more rainbows!



Abbott, D. (Ed.), *The Biographical Dictionary of Scientists-Astronomers*. Blond Educational (1984).

Web Pages

http://home.vicnet.net.au/~colmusic/clario2.htm

CHAPTER TWO

A History of Astronomical Spectroscopy



The publication of Kirchhoff's and Bunsen's work brought the awareness of the spectroscope, and what it could reveal, to a wider audience, including astronomers. The obvious question was, how could this new instrument be used to analyze the light from the Sun and stars?

Auguste Comte (1798–1857), a French philosopher stated this in 1835: "We may in time ascertain the mean temperature of the heavenly bodies: but I regard this order of facts as for ever excluded from our recognition. We can never learn their internal constitution, nor, in regard to some of them, how heat is absorbed by their atmosphere." He was about to be proved wrong!

One of the first astronomers to apply the spectroscope to his telescope was William Huggins (1824–1910), an English amateur. To quote from his later book:

I soon became a little dissatisfied with the routine character of ordinary astronomical work, and in a vague way sought about in my mind for the possibility of research upon the heavens in a new direction or by new methods. It was just at this time ... that the news reached me of Kirchhoff's great discovery of the true nature and the chemical constitution of the sun from his interpretation of the Fraunhofer lines.

This news was to me like the coming upon a spring of water in a dry and thirsty land. Here at last presented itself the very order of work for which in an indefinite way I was looking – namely, to extend his novel methods of research upon the sun to the other heavenly bodies. A feeling as of inspiration seized me: I felt as if I had it now in my power to lift a veil which had never before been lifted; as if a key had been put into my hands which would unlock a door which had been regarded as for ever closed to man – the veil and the door behind which lay the unknown mystery of the true nature of the heavenly bodies.



For the next 40 years he and his wife Margaret dedicated their time and resources to observing the sky with the spectroscope.

10

Huggins designed and built all his prism spectroscopes and pioneered new techniques such as providing a reference spectrum from an electric spark and a reflection slit to improve guiding the spectroscope on a star. See Fig. 2.1.



Figure 2.1. Huggins spectroscope. (WIKI.)

2 A History of Astronomical Spectroscopy

From his observatory at Tulsa Hill just outside London, Huggins was the first to observe emission lines in the spectra of nebulae; he also correctly applied Doppler's principle to his spectra to determine the radial velocity of a star (Sirius). In 1869, Huggins developed a technique to allow the observation of solar prominences without the need for a solar eclipse. He later also correctly identified the ultraviolet lines of hydrogen on photographic plates.

Another active observer at the time was Father Angelo Secchi (1818–1878) of the Vatican Observatory. Secchi observed the spectra from over 4,000 stars and developed a stellar classification system that was used for almost 50 years.

In 1863 he announced his Class I (strong hydrogen lines) and Class II (weaker hydrogen lines with numerous metallic lines) stars; by 1866 he had added Class III (bands stronger towards the blue, plus metallic lines), and in 1868 Class IV (deep red stars with bands opposite to Class III). He later added Class V (emission spectra). See Fig. 2.2.

The Mertz 12° objective prism 162 mm diameter (made in 1872) used by Secchi for his later research, was displayed at the 2009 ASTRUM exhibition in Rome.

Anders Jonas Angstrom (1814–1874), using an early grating spectroscope, mapped the solar spectrum with greater accuracy than had been done previously. In 1868 he published an atlas of over 1,000 lines, their positions recorded in units of 10^{-10} m. This is now known as Angstrom Units (Å) and is still widely used.

Henry Draper (1837–1882) succeeded in obtaining a photograph of the spectrum of Vega, which clearly showed the hydrogen absorption lines (1872). The advent of the dry photographic plate in the mid-1880s allowed early investigators to carry out the long exposures required to record spectra (Harvard Observatory obtained the first spectrum of a meteor in 1897). The use of these dry plates and later photographic film heralded the beginning of the transfer of spectroscopy from amateurs to professional astronomers.

With monies from the Henry Draper Memorial Fund, Edward Pickering (1846– 1919) and his team at Harvard Observatory followed the work of Secchi in recording and cataloging stellar spectra. Using an objective prism mounted in front of the telescope objective he was able to quickly amass large amounts of low resolution spectra (He used objective prisms with angles from 5 to 7° mounted on telescopes up to 13″ aperture to obtain the spectra). The subsequent "Henry Draper Catalogue" of stellar spectra was based on separate classes and sub classes; W O B A F G K M. Updated and enhanced versions of this catalog are still used today.

The work of Henry Rowland (1848–1901) in perfecting his grating ruling engine in 1882 allowed the production of large diffraction gratings that gradually took over from prisms in professional spectroscopes.

By the turn of the century the era of the amateur scientist was drawing to a close; larger and larger spectroscopes and telescopes were producing scientific results that would determine the direction of astrophysics for the next 100 years.

The interested amateur could acquire spectroscopes made by John Browning (1835–1925). These were small direct-vision Amici prism instruments (D-V) for stellar observing, and they established the trend for the next 40 years. Being a dedicated visual instrument, the results were limited to viewing spectra of the Sun, brighter stars, and nebulae.

Astronomical Spectroscopy for Amateurs



VISUAL SPECTRA TYPE V COMPARED WITH TYPE IV (VOGEL). 1. V Can. Ven.; 2. XVIIIh 3^m, S. 21^o 16'; 3. Vih 51^m, S. 23^o 49'; 4, 5, 6. Wolf-Rayet, Nos. 1, 2, 3, Cygnus.



Commercial D-V instruments continued to be produced by Adam Hilger, Ltd., among others and examples by GOTO (Japan) and LaFeyette (USA) were widely used by amateur astronomers in the 1950's and 1960's (see Fig. 2.3).