

### **Henri Bergson**

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# Laughter: An Essay on the Meaning of the Comic

TRANSLATORS: CLOUDESLEY BRERETON FRED ROTHWELL

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#### TRANSLATORS' PREFACE

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This work, by Professor Bergson, has been revised in detail by the author himself, and the present translation is the only authorised one. For this ungrudging labour of revision, for the thoroughness with which it has been carried out, and for personal sympathy in many a difficulty of word and phrase, we desire to offer our grateful acknowledgment to Professor Bergson. It may be pointed out that the essay on Laughter originally appeared in a series of three articles in one of the leading magazines in France, the Revue de Paris. This will account for the relatively simple form of the work and the comparative absence of technical terms. It will also explain why the author has confined himself to exposing and illustrating his novel theory of the comic without entering into a detailed discussion of other explanations already in the field. He none the less indicates, when discussing sundry examples, why the principal theories, to which they have given rise, appear to him inadequate. To quote only a few, one may mention those based on contrast, exaggeration, and degradation.

The book has been highly successful in France, where it is in its seventh edition. It has been translated into Russian, Polish, and Swedish. German and Hungarian translations are under preparation. Its success is due partly to the novelty of the explanation offered of the comic, and partly also to the fact that the author incidentally discusses questions of still greater interest and importance. Thus, one of the best known and most frequently quoted passages of the book is that portion of the last chapter in which the author outlines a general theory of art.

#### **CHAPTER I**

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THE COMIC IN GENERAL — THE COMIC ELEMENT IN FORMS AND MOVEMENTS — EXPANSIVE FORCE OF THE COMIC.

What does laughter mean? What is the basal element in the laughable? What common ground can we find between the grimace of a merry-andrew, a play upon words, an equivocal situation in a burlesque and a scene of high comedy? What method of distillation will yield us invariably the same essence from which so many different products borrow either their obtrusive odour or their delicate perfume? The greatest of thinkers, from Aristotle downwards, have tackled this little problem, which has a knack of baffling every effort, of slipping away and escaping only to bob up again, a pert challenge flung at philosophic speculation. Our excuse for attacking the problem in our turn must lie in the fact that we shall not aim at imprisoning the comic spirit within a definition. We regard it, above all, as a living thing. However trivial it may be, we shall treat it with the respect due to life. We shall confine ourselves to watching it grow and expand. Passing by imperceptible gradations from one form to another, it will be seen to achieve the strangest metamorphoses. We shall disdain nothing we have seen. Maybe we may gain from this prolonged contact, for the matter of that, something more flexible than an abstract definition, — a practical, intimate acquaintance, such as springs from a long companionship. And maybe we may also find that, unintentionally, we have made an acquaintance that is useful. For the comic spirit has a logic of its own, even in its wildest eccentricities. It has a method in its madness. It dreams, I admit, but it conjures up, in its dreams, visions that are at once accepted and understood by the whole of a social group. Can it then fail to throw light

for us on the way that human imagination works, and more particularly social, collective, and popular imagination? Begotten of real life and akin to art, should it not also have something of its own to tell us about art and life?

At the outset we shall put forward three observations which we look upon as fundamental. They have less bearing on the actually comic than on the field within which it must be sought.

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The first point to which attention should be called is that the comic does not exist outside the pale of what is strictly HUMAN. A landscape may be beautiful, charming and sublime, or insignificant and ugly; it will never be laughable. You may laugh at an animal, but only because you have detected in it some human attitude or expression. You may laugh at a hat, but what you are making fun of, in this case, is not the piece of felt or straw, but the shape that men have given it, — the human caprice whose mould it has assumed. It is strange that so important a fact, and such a simple one too, has not attracted to a greater degree the attention of philosophers. Several have defined man as "an animal which laughs." They might equally well have defined him as an animal which is laughed at; for if any other animal, or some lifeless object, produces the same effect, it is always because of some resemblance to man, of the stamp he gives it or the use he puts it to.

Here I would point out, as a symptom equally worthy of notice, the ABSENCE OF FEELING which usually accompanies laughter. It seems as though the comic could not produce its disturbing effect unless it fell, so to say, on the surface of a soul that is thoroughly calm and unruffled. Indifference is its natural environment, for laughter has no greater foe than emotion. I do not mean that we could not laugh at a person who inspires us with pity, for instance, or even with affection, but in such a case we must, for the moment, put our affection out of court and impose silence upon our pity. In a society composed of pure intelligences there would probably be no more tears, though perhaps there would still be laughter; whereas highly emotional souls, in tune and unison with life, in whom every event

would be sentimentally prolonged and re-echoed, would neither know nor understand laughter. Try, for a moment, to become interested in everything that is being said and done; act, in imagination, with those who act, and feel with those who feel; in a word, give your sympathy its widest expansion: as though at the touch of a fairy wand you will see the flimsiest of objects assume importance, and a gloomy hue spread over everything. Now step aside, look upon life as a disinterested spectator: many a drama will turn into a comedy. It is enough for us to stop our ears to the sound of music, in a room where dancing is going on, for the dancers at once to appear ridiculous. How many human actions would stand a similar test? Should we not see many of them suddenly pass from grave to gay, on isolating them from the accompanying music of sentiment? To produce the whole of its effect, then, the comic demands something like a momentary anesthesia of the heart. Its appeal is to intelligence, pure and simple.

This intelligence, however, must always remain in touch with other intelligences. And here is the third fact to which attention should be drawn. You would hardly appreciate the comic if you felt yourself isolated from others. Laughter appears to stand in need of an echo, Listen to it carefully: it is not an articulate, clear, well-defined sound; it is something which would fain be prolonged by reverberating from one to another, something beginning with a crash, to continue in successive rumblings, like thunder in a mountain. Still, this reverberation cannot go on for ever. It can travel within as wide a circle as you please: the circle remains, none the less, a closed one. Our laughter is always the laughter of a group. It may, perchance, have happened to you, when seated in a railway carriage or at table d'hote, to hear travellers relating to one another stories which must have been comic to them, for they laughed heartily. Had you been one of their company, you would have laughed like them; but, as you were not, you had no desire whatever to

do so. A man who was once asked why he did not weep at a sermon, when everybody else was shedding tears, replied: "I don't belong to the parish!" What that man thought of tears would be still more true of laughter. However spontaneous it seems, laughter always implies a kind of secret freemasonry, or even complicity, with other laughers, real or imaginary. How often has it been said that the fuller the theatre, the more uncontrolled the laughter of the audience! On the other hand, how often has the remark been made that many comic effects are incapable of translation from one language to another, because they refer to the customs and ideas of a particular social group! It is through not understanding the importance of this double fact that the comic has been looked upon as a mere curiosity in which the mind finds amusement, and laughter itself as a strange, isolated phenomenon, without any bearing on the rest of human activity. Hence those definitions which tend to make the comic into an abstract relation between ideas: "an intellectual contrast," "a palpable absurdity," etc., — definitions which, even were they really suitable to every form of the comic, would not in the least explain why the comic makes us laugh. How, indeed, should it come about that this particular logical relation, as soon as it is perceived, contracts, expands and shakes our limbs, whilst all other relations leave the body unaffected? It is not from this point of view that we shall approach the problem. To understand laughter, we must put it back into its natural environment, which is society, and above all must we determine the utility of its function, which is a social one. Such, let us say at once, will be the leading idea of all our investigations. Laughter must answer to certain requirements of life in common. It must have a SOCIAL signification.

Let us clearly mark the point towards which our three preliminary observations are converging. The comic will come into being, it appears, whenever a group of men concentrate their attention on one of their number, imposing silence on their emotions and calling into play nothing but their intelligence. What, now, is the particular point on which their attention will have to be concentrated, and what will here be the function of intelligence? To reply to these questions will be at once to come to closer grips with the problem. But here a few examples have become indispensable.

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A man, running along the street, stumbles and falls; the passers-by burst out laughing. They would not laugh at him, I imagine, could they suppose that the whim had suddenly seized him to sit down on the ground. They laugh because his sitting down is involuntary.

Consequently, it is not his sudden change of attitude that raises a laugh, but rather the involuntary element in this change, — his clumsiness, in fact. Perhaps there was a stone on the road. He should have altered his pace or avoided the obstacle. Instead of that, through lack of elasticity, through absentmindedness and a kind of physical obstinacy, AS A RESULT, IN FACT, OF RIGIDITY OR OF MOMENTUM, the muscles continued to perform the same movement when the circumstances of the case called for something else. That is the reason of the man's fall, and also of the people's laughter.

Now, take the case of a person who attends to the petty occupations of his everyday life with mathematical precision. The objects around him, however, have all been tampered with by a mischievous wag, the result being that when he dips his pen into the inkstand he draws it out all covered with mud, when he fancies he is sitting down on a solid chair he finds himself sprawling on the floor, in a word his actions are all topsy-turvy or mere beating the air, while in every case the effect is invariably one of momentum. Habit has given the impulse: what was wanted was to check the movement or deflect it. He did nothing of the sort, but continued like a machine in the same straight line. The victim, then, of a practical joke is in a position similar to that of a runner who falls, — he is comic for the same reason. The laughable element in both cases consists of a certain

MECHANICAL INELASTICITY, just where one would expect to find the wide-awake adaptability and the living pliableness of a human being. The only difference in the two cases is that the former happened of itself, whilst the latter was obtained artificially. In the first instance, the passer-by does nothing but look on, but in the second the mischievous wag intervenes.

All the same, in both cases the result has been brought about by an external circumstance. The comic is therefore accidental: it remains, so to speak, in superficial contact with the person. How is it to penetrate within? The necessary conditions will be fulfilled when mechanical rigidity no longer requires for its manifestation a stumblingblock which either the hazard of circumstance or human knavery has set in its way, but extracts by natural processes, from its own store, an inexhaustible series of opportunities for externally revealing its presence. Suppose, then, we imagine a mind always thinking of what it has just done and never of what it is doing, like a song which lags behind its accompaniment. Let us try to picture to ourselves a certain inborn lack of elasticity of both senses and intelligence, which brings it to pass that we continue to see what is no longer visible, to hear what is no longer audible, to say what is no longer to the point: in short, to adapt ourselves to a past and therefore imaginary situation, when we ought to be shaping our conduct in accordance with the reality which is present. This time the comic will take up its abode in the person himself; it is the person who will supply it with everything — matter and form, cause and opportunity. Is it then surprising that the absent-minded individual — for this is the character we have just been describing — has usually fired the imagination of comic authors? When La Bruyere came across this particular type, he realised, on analysing it, that he had got hold of a recipe for the wholesale manufacture of comic effects. As a matter of fact he overdid it, and gave us far too lengthy and

detailed a description of Menalque, coming back to his subject, dwelling and expatiating on it beyond all bounds. The very facility of the subject fascinated him. Absentmindedness, indeed, is not perhaps the actual fountain-head of the comic, but surely it is contiguous to a certain stream of facts and fancies which flows straight from the fountain-head. It is situated, so to say, on one of the great natural watersheds of laughter.

Now, the effect of absentmindedness may gather strength in its turn. There is a general law, the first example of which we have just encountered, and which we will formulate in the following terms: when a certain comic effect has its origin in a certain cause, the more natural we regard the cause to be, the more comic shall we find the effect. Even now we laugh at absentmindedness when presented to us as a simple fact. Still more laughable will be the absentmindedness we have seen springing up and growing before our very eyes, with whose origin we are acquainted and whose life-history we can reconstruct. To choose a definite example: suppose a man has taken to reading nothing but romances of love and chivalry. Attracted and fascinated by his heroes, his thoughts and intentions gradually turn more and more towards them, till one fine day we find him walking among us like a somnambulist. His actions are distractions. But then his distractions can be traced back to a definite, positive cause. They are no longer cases of ABSENCE of mind, pure and simple; they find their explanation in the PRESENCE of the individual in guite definite, though imaginary, surroundings. Doubtless a fall is always a fall, but it is one thing to tumble into a well because you were looking anywhere but in front of you, it is quite another thing to fall into it because you were intent upon a star. It was certainly a star at which Don Quixote was gazing. How profound is the comic element in the overromantic, Utopian bent of mind! And yet, if you reintroduce the idea of absentmindedness, which acts as a go-between,

you will see this profound comic element uniting with the most superficial type. Yes, indeed, these whimsical wild enthusiasts, these madmen who are yet so strangely reasonable, excite us to laughter by playing on the same chords within ourselves, by setting in motion the same inner mechanism, as does the victim of a practical joke or the passer-by who slips down in the street. They, too, are runners who fall and simple souls who are being hoaxed runners after the ideal who stumble over realities, child-like dreamers for whom life delights to lie in wait. But, above all, they are past-masters in absentmindedness, with this superiority over their fellows that their absentmindedness is systematic and organised around one central idea, and that their mishaps are also guite coherent, thanks to the inexorable logic which reality applies to the correction of dreams, so that they kindle in those around them, by a series of cumulative effects, a hilarity capable of unlimited expansion.

Now, let us go a little further. Might not certain vices have the same relation to character that the rigidity of a fixed idea has to intellect? Whether as a moral kink or a crooked twist given to the will, vice has often the appearance of a curvature of the soul. Doubtless there are vices into which the soul plunges deeply with all its pregnant potency, which it rejuvenates and drags along with it into a moving circle of reincarnations. Those are tragic vices. But the vice capable of making us comic is, on the contrary, that which is brought from without, like a readymade frame into which we are to step. It lends us its own rigidity instead of borrowing from us our flexibility. We do not render it more complicated; on the contrary, it simplifies us. Here, as we shall see later on in the concluding section of this study, lies the essential difference between comedy and drama. A drama, even when portraying passions or vices that bear a name, so completely incorporates them in the person that their names are forgotten, their general

characteristics effaced, and we no longer think of them at all, but rather of the person in whom they are assimilated; hence, the title of a drama can seldom be anything else than a proper noun. On the other hand, many comedies have a common noun as their title: l'Avare, le Joueur, etc. Were you asked to think of a play capable of being called le Jaloux, for instance, you would find that Sganarelle or George Dandin would occur to your mind, but not Othello: le Jaloux could only be the title of a comedy. The reason is that, however intimately vice, when comic, is associated with persons, it none the less retains its simple, independent existence, it remains the central character, present though invisible, to which the characters in flesh and blood on the stage are attached. At times it delights in dragging them down with its own weight and making them share in its tumbles. More frequently, however, it plays on them as on an instrument or pulls the strings as though they were puppets. Look closely: you will find that the art of the comic poet consists in making us so well acquainted with the particular vice, in introducing us, the spectators, to such a degree of intimacy with it, that in the end we get hold of some of the strings of the marionette with which he is playing, and actually work them ourselves; this it is that explains part of the pleasure we feel. Here, too, it is really a kind of automatism that makes us laugh — an automatism, as we have already remarked, closely akin to mere absentmindedness. To realise this more fully, it need only be noted that a comic character is generally comic in proportion to his ignorance of himself. The comic person is unconscious. As though wearing the ring of Gyges with reverse effect, he becomes invisible to himself while remaining visible to all the world. A character in a tragedy will make no change in his conduct because he will know how it is judged by us; he may continue therein, even though fully conscious of what he is and feeling keenly the horror he inspires in us. But a defect that is ridiculous, as

soon as it feels itself to be so, endeavours to modify itself, or at least to appear as though it did. Were Harpagon to see us laugh at his miserliness, I do not say that he would get rid of it, but he would either show it less or show it differently. Indeed, it is in this sense only that laughter "corrects men's manners." It makes us at once endeavour to appear what we ought to be, what some day we shall perhaps end in being.

It is unnecessary to carry this analysis any further. From the runner who falls to the simpleton who is hoaxed, from a state of being hoaxed to one of absentmindedness, from absentmindedness to wild enthusiasm, from wild enthusiasm to various distortions of character and will, we have followed the line of progress along which the comic becomes more and more deeply imbedded in the person, yet without ceasing, in its subtler manifestations, to recall to us some trace of what we noticed in its grosser forms, an effect of automatism and of inelasticity. Now we can obtain a first glimpse — a distant one, it is true, and still hazy and confused — of the laughable side of human nature and of the ordinary function of laughter.

What life and society require of each of us is a constantly alert attention that discerns the outlines of the present situation, together with a certain elasticity of mind and body to enable us to adapt ourselves in consequence. TENSION and ELASTICITY are two forces, mutually complementary, which life brings into play. If these two forces are lacking in the body to any considerable extent, we have sickness and infirmity and accidents of every kind. If they are lacking in the mind, we find every degree of mental deficiency, every variety of insanity. Finally, if they are lacking in the character, we have cases of the gravest inadaptability to social life, which are the sources of misery and at times the causes of crime. Once these elements of inferiority that affect the serious side of existence are removed — and they tend to eliminate themselves in what has been called the

struggle for life — the person can live, and that in common with other persons. But society asks for something more; it is not satisfied with simply living, it insists on living well. What it now has to dread is that each one of us, content with paying attention to what affects the essentials of life, will, so far as the rest is concerned, give way to the easy automatism of acquired habits. Another thing it must fear is that the members of whom it is made up, instead of aiming after an increasingly delicate adjustment of wills which will fit more and more perfectly into one another, will confine themselves to respecting simply the fundamental conditions of this adjustment: a cut-and-dried agreement among the persons will not satisfy it, it insists on a constant striving after reciprocal adaptation. Society will therefore be suspicious of all INELASTICITY of character, of mind and even of body, because it is the possible sign of a slumbering activity as well as of an activity with separatist tendencies, that inclines to swerve from the common centre round which society gravitates: in short, because it is the sign of an eccentricity. And yet, society cannot intervene at this stage by material repression, since it is not affected in a material fashion. It is confronted with something that makes it uneasy, but only as a symptom — scarcely a threat, at the very most a gesture. A gesture, therefore, will be its reply. Laughter must be something of this kind, a sort of SOCIAL GESTURE. By the fear which it inspires, it restrains eccentricity, keeps constantly awake and in mutual contact certain activities of a secondary order which might retire into their shell and go to sleep, and, in short, softens down whatever the surface of the social body may retain of mechanical inelasticity. Laughter, then, does not belong to the province of esthetics alone, since unconsciously (and even immorally in many particular instances) it pursues a utilitarian aim of general improvement. And yet there is something esthetic about it, since the comic comes into being just when society and the individual, freed from the

worry of self-preservation, begin to regard themselves as works of art. In a word, if a circle be drawn round those actions and dispositions — implied in individual or social life — to which their natural consequences bring their own penalties, there remains outside this sphere of emotion and struggle — and within a neutral zone in which man simply exposes himself to man's curiosity — a certain rigidity of body, mind and character, that society would still like to get rid of in order to obtain from its members the greatest possible degree of elasticity and sociability. This rigidity is the comic, and laughter is its corrective.

Still, we must not accept this formula as a definition of the comic. It is suitable only for cases that are elementary, theoretical and perfect, in which the comic is free from all adulteration. Nor do we offer it, either, as an explanation. We prefer to make it, if you will, the leitmotiv which is to accompany all our explanations. We must ever keep it in mind, though without dwelling on it too much, somewhat as a skilful fencer must think of the discontinuous movements of the lesson whilst his body is given up to the continuity of the fencing-match. We will now endeavour to reconstruct the sequence of comic forms, taking up again the thread that leads from the horseplay of a clown up to the most refined effects of comedy, following this thread in its often unforeseen windings, halting at intervals to look around, and finally getting back, if possible, to the point at which the thread is dangling and where we shall perhaps find — since the comic oscillates between life and art — the general relation that art bears to life.

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Let us begin at the simplest point. What is a comic physiognomy? Where does a ridiculous expression of the face come from? And what is, in this case, the distinction between the comic and the ugly? Thus stated, the question could scarcely be answered in any other than an arbitrary fashion. Simple though it may appear, it is, even now, too subtle to allow of a direct attack. We should have to begin with a definition of ugliness, and then discover what addition the comic makes to it; now, ugliness is not much easier to analyse than is beauty. However, we will employ an artifice which will often stand us in good stead. We will exaggerate the problem, so to speak, by magnifying the effect to the point of making the cause visible. Suppose, then, we intensify ugliness to the point of deformity, and study the transition from the deformed to the ridiculous.

Now, certain deformities undoubtedly possess over others the sorry privilege of causing some persons to laugh; some hunchbacks, for instance, will excite laughter. Without at this point entering into useless details, we will simply ask the reader to think of a number of deformities, and then to divide them into two groups: on the one hand, those which nature has directed towards the ridiculous; and on the other, those which absolutely diverge from it. No doubt he will hit upon the following law: A deformity that may become comic is a deformity that a normally built person, could successfully imitate.

Is it not, then, the case that the hunchback suggests the appearance of a person who holds himself badly? His back seems to have contracted an ugly stoop. By a kind of physical obstinacy, by rigidity, in a word, it persists in the habit it has contracted. Try to see with your eyes alone.

Avoid reflection, and above all, do not reason. Abandon all your prepossessions; seek to recapture a fresh, direct and primitive impression. The vision you will reacquire will be one of this kind. You will have before you a man bent on cultivating a certain rigid attitude — whose body, if one may use the expression, is one vast grin.

Now, let us go back to the point we wished to clear up. By toning down a deformity that is laughable, we ought to obtain an ugliness that is comic. A laughable expression of the face, then, is one that will make us think of something rigid and, so to speak, coagulated, in the wonted mobility of the face. What we shall see will be an ingrained twitching or a fixed grimace. It may be objected that every habitual expression of the face, even when graceful and beautiful, gives us this same impression of something stereotyped? Here an important distinction must be drawn. When we speak of expressive beauty or even expressive ugliness, when we say that a face possesses expression, we mean expression that may be stable, but which we conjecture to be mobile. It maintains, in the midst of its fixity, a certain indecision in which are obscurely portrayed all possible shades of the state of mind it expresses, just as the sunny promise of a warm day manifests itself in the haze of a spring morning. But a comic expression of the face is one that promises nothing more than it gives. It is a unique and permanent grimace. One would say that the person's whole moral life has crystallised into this particular cast of features. This is the reason why a face is all the more comic, the more nearly it suggests to us the idea of some simple mechanical action in which its personality would for ever be absorbed. Some faces seem to be always engaged in weeping, others in laughing or whistling, others, again, in eternally blowing an imaginary trumpet, and these are the most comic faces of all. Here again is exemplified the law according to which the more natural the explanation of the cause, the more comic is the effect. Automatism,

inelasticity, habit that has been contracted and maintained, are clearly the causes why a face makes us laugh. But this effect gains in intensity when we are able to connect these characteristics with some deep-seated cause, a certain fundamental absentmindedness, as though the soul had allowed itself to be fascinated and hypnotised by the materiality of a simple action.

We shall now understand the comic element in caricature. However regular we may imagine a face to be. however harmonious its lines and supple its movements, their adjustment is never altogether perfect: there will always be discoverable the signs of some impending bias, the vague suggestion of a possible grimace, in short some favourite distortion towards which nature seems to be particularly inclined. The art of the caricaturist consists in detecting this, at times, imperceptible tendency, and in rendering it visible to all eyes by magnifying it. He makes his models grimace, as they would do themselves if they went to the end of their tether. Beneath the skin-deep harmony of form, he divines the deep-seated recalcitrance of matter. He realises disproportions and deformations which must have existed in nature as mere inclinations, but which have not succeeded in coming to a head, being held in check by a higher force. His art, which has a touch of the diabolical, raises up the demon who had been overthrown by the angel. Certainly, it is an art that exaggerates, and yet the definition would be very far from complete were exaggeration alone alleged to be its aim and object, for there exist caricatures that are more lifelike than portraits, caricatures in which the exaggeration is scarcely noticeable, whilst, inversely, it is guite possible to exaggerate to excess without obtaining a real caricature. For exaggeration to be comic, it must not appear as an aim, but rather as a means that the artist is using in order to make manifest to our eyes the distortions which he sees in embryo. It is this process of distortion that is of moment and interest. And that is

precisely why we shall look for it even in those elements of the face that are incapable of movement, in the curve of a nose or the shape of an ear. For, in our eyes, form is always the outline of a movement. The caricaturist who alters the size of a nose, but respects its ground plan, lengthening it, for instance, in the very direction in which it was being lengthened by nature, is really making the nose indulge in a grin. Henceforth we shall always look upon the original as having determined to lengthen itself and start grinning. In this sense, one might say that Nature herself often meets with the successes of a caricaturist. In the movement through which she has slit that mouth, curtailed that chin and bulged out that cheek, she would appear to have succeeded in completing the intended grimace, thus outwitting the restraining supervision of a more reasonable force. In that case, the face we laugh at is, so to speak, its own caricature.

To sum up, whatever be the doctrine to which our reason assents, our imagination has a very clear-cut philosophy of its own: in every human form it sees the effort of a soul which is shaping matter, a soul which is infinitely supple and perpetually in motion, subject to no law of gravitation, for it is not the earth that attracts it. This soul imparts a portion of its winged lightness to the body it animates: the immateriality which thus passes into matter is what is called gracefulness. Matter, however, is obstinate and resists. It draws to itself the ever-alert activity of this higher principle, would fain convert it to its own inertia and cause it to revert to mere automatism. It would fain immobilise the intelligently varied movements of the body in stupidly contracted grooves, stereotype in permanent grimaces the fleeting expressions of the face, in short imprint on the whole person such an attitude as to make it appear immersed and absorbed in the materiality of some mechanical occupation instead of ceaselessly renewing its vitality by keeping in touch with a living ideal. Where matter

thus succeeds in dulling the outward life of the soul, in petrifying its movements and thwarting its gracefulness, it achieves, at the expense of the body, an effect that is comic. If, then, at this point we wished to define the comic by comparing it with its contrary, we should have to contrast it with gracefulness even more than with beauty. It partakes rather of the unsprightly than of the unsightly, of RIGIDNESS rather than of UGLINESS.

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We will now pass from the comic element in FORMS to that in GESTURES and MOVEMENTS. Let us at once state the law which seems to govern all the phenomena of this kind. It may indeed be deduced without any difficulty from the considerations stated above. THE ATTITUDES, GESTURES AND MOVEMENTS OF THE HUMAN BODY ARE LAUGHABLE IN EXACT PROPORTION AS THAT BODY REMINDS US OF A MERE MACHINE. There is no need to follow this law through the details of its immediate applications, which are innumerable. To verify it directly, it would be sufficient to study closely the work of comic artists, eliminating entirely the element of caricature, and omitting that portion of the comic which is not inherent in the drawing itself. For, obviously, the comic element in a drawing is often a borrowed one, for which the text supplies all the stock-intrade. I mean that the artist may be his own understudy in the shape of a satirist, or even a playwright, and that then we laugh far less at the drawings themselves than at the satire or comic incident they represent. But if we devote our whole attention to the drawing with the firm resolve to think of nothing else, we shall probably find that it is generally comic in proportion to the clearness, as well as the subtleness, with which it enables us to see a man as a jointed puppet. The suggestion must be a clear one, for inside the person we must distinctly perceive, as though through a glass, a set-up mechanism. But the suggestion must also be a subtle one, for the general appearance of the person, whose every limb has been made rigid as a machine, must continue to give us the impression of a living being. The more exactly these two images, that of a person and that of a machine, fit into each other, the more striking

is the comic effect, and the more consummate the art of the draughtsman. The originality of a comic artist is thus expressed in the special kind of life he imparts to a mere puppet.

We will, however, leave on one side the immediate application of the principle, and at this point insist only on the more remote consequences. The illusion of a machine working in the inside of the person is a thing that only crops up amid a host of amusing effects; but for the most part it is a fleeting glimpse, that is immediately lost in the laughter it provokes. To render it permanent, analysis and reflection must be called into play.

In a public speaker, for instance, we find that gesture vies with speech. Jealous of the latter, gesture closely dogs the speaker's thought, demanding also to act as interpreter. Well and good; but then it must pledge itself to follow thought through all the phases of its development. An idea is something that grows, buds, blossoms and ripens from the beginning to the end of a speech. It never halts, never repeats itself. It must be changing every moment, for to cease to change would be to cease to live. Then let gesture display a like animation! Let it accept the fundamental law of life, which is the complete negation of repetition! But I find that a certain movement of head or arm, a movement always the same, seems to return at regular intervals. If I notice it and it succeeds in diverting my attention, if I wait for it to occur and it occurs when I expect it, then involuntarily I laugh. Why? Because I now have before me a machine that works automatically. This is no longer life, it is automatism established in life and imitating it. It belongs to the comic.

This is also the reason why gestures, at which we never dreamt of laughing, become laughable when imitated by another individual. The most elaborate explanations have been offered for this extremely simple fact. A little reflection, however, will show that our mental state is ever

changing, and that if our gestures faithfully followed these inner movements, if they were as fully alive as we, they would never repeat themselves, and so would keep imitation at bay. We begin, then, to become imitable only when we cease to be ourselves. I mean our gestures can only be imitated in their mechanical uniformity, and therefore exactly in what is alien to our living personality. To imitate any one is to bring out the element of automatism he has allowed to creep into his person. And as this is the very essence of the ludicrous, it is no wonder that imitation gives rise to laughter.

Still, if the imitation of gestures is intrinsically laughable, it will become even more so when it busies itself in deflecting them, though without altering their form, towards some mechanical occupation, such as sawing wood, striking on an anvil, or tugging away at an imaginary bell-rope. Not that vulgarity is the essence of the comic, — although certainly it is to some extent an ingredient, — but rather that the incriminated gesture seems more frankly mechanical when it can be connected with a simple operation, as though it were intentionally mechanical. To suggest this mechanical interpretation ought to be one of the favourite devices of parody. We have reached this result through deduction, but I imagine clowns have long had an intuition of the fact.

This seems to me the solution of the little riddle propounded by Pascal in one passage of his Thoughts: "Two faces that are alike, although neither of them excites laughter by itself, make us laugh when together, on account of their likeness." It might just as well be said: "The gestures of a public speaker, no one of which is laughable by itself, excite laughter by their repetition." The truth is that a really living life should never repeat itself. Wherever there is repetition or complete similarity, we always suspect some mechanism at work behind the living. Analyse the impression you get from two faces that are too much alike,

and you will find that you are thinking of two copies cast in the same mould, or two impressions of the same seal, or two reproductions of the same negative, — in a word, of some manufacturing process or other. This deflection of life towards the mechanical is here the real cause of laughter.

And laughter will be more pronounced still, if we find on the stage not merely two characters, as in the example from Pascal, but several, nay, as great a number as possible, the image of one another, who come and go, dance and gesticulate together, simultaneously striking the same attitudes and tossing their arms about in the same manner. This time, we distinctly think of marionettes. Invisible threads seem to us to be joining arms to arms, legs to legs, each muscle in one face to its fellow-muscle in the other: by reason of the absolute uniformity which prevails, the very litheness of the bodies seems to stiffen as we gaze, and the actors themselves seem transformed into automata. Such. at least, appears to be the artifice underlying this somewhat obvious form of amusement. I daresay the performers have never read Pascal, but what they do is merely to realise to the full the suggestions contained in Pascal's words. If, as is undoubtedly the case, laughter is caused in the second instance by the hallucination of a mechanical effect, it must already have been so, though in more subtle fashion, in the first.

Continuing along this path, we dimly perceive the increasingly important and far-reaching consequences of the law we have just stated. We faintly catch still more fugitive glimpses of mechanical effects, glimpses suggested by man's complex actions, no longer merely by his gestures. We instinctively feel that the usual devices of comedy, the periodical repetition of a word or a scene, the systematic inversion of the parts, the geometrical development of a farcical misunderstanding, and many other stage contrivances, must derive their comic force from the same source, — the art of the playwright probably consisting in

setting before us an obvious clockwork arrangement of human events, while carefully preserving an outward aspect of probability and thereby retaining something of the suppleness of life. But we must not forestall results which will be duly disclosed in the course of our analysis.

#### V

#### **Table of Contents**

Before going further, let us halt a moment and glance around. As we hinted at the outset of this study, it would be idle to attempt to derive every comic effect from one simple formula. The formula exists well enough in a certain sense, but its development does not follow a straightforward course. What I mean is that the process of deduction ought from time to time to stop and study certain culminating effects, and that these effects each appear as models round which new effects resembling them take their places in a circle. These latter are not deductions from the formula, but are comic through their relationship with those that are. To quote Pascal again, I see no objection, at this stage, to defining the process by the curve which that geometrician studied under the name of roulette or cycloid, — the curve traced by a point in the circumference of a wheel when the carriage is advancing in a straight line: this point turns like the wheel, though it advances like the carriage. Or else we might think of an immense avenue such as are to be seen in the forest of Fontainebleau, with crosses at intervals to indicate the cross-ways: at each of these we shall walk round the cross, explore for a while the paths that open out before us, and then return to our original course. Now, we have just reached one of these mental crossways. Something mechanical encrusted on the living, will represent a cross at which we must halt, a central image from which the imagination branches off in different directions. What are these directions? There appear to be three main ones. We will follow them one after the other. and then continue our onward course.

1. In the first place, this view of the mechanical and the living dovetailed into each other makes us incline towards