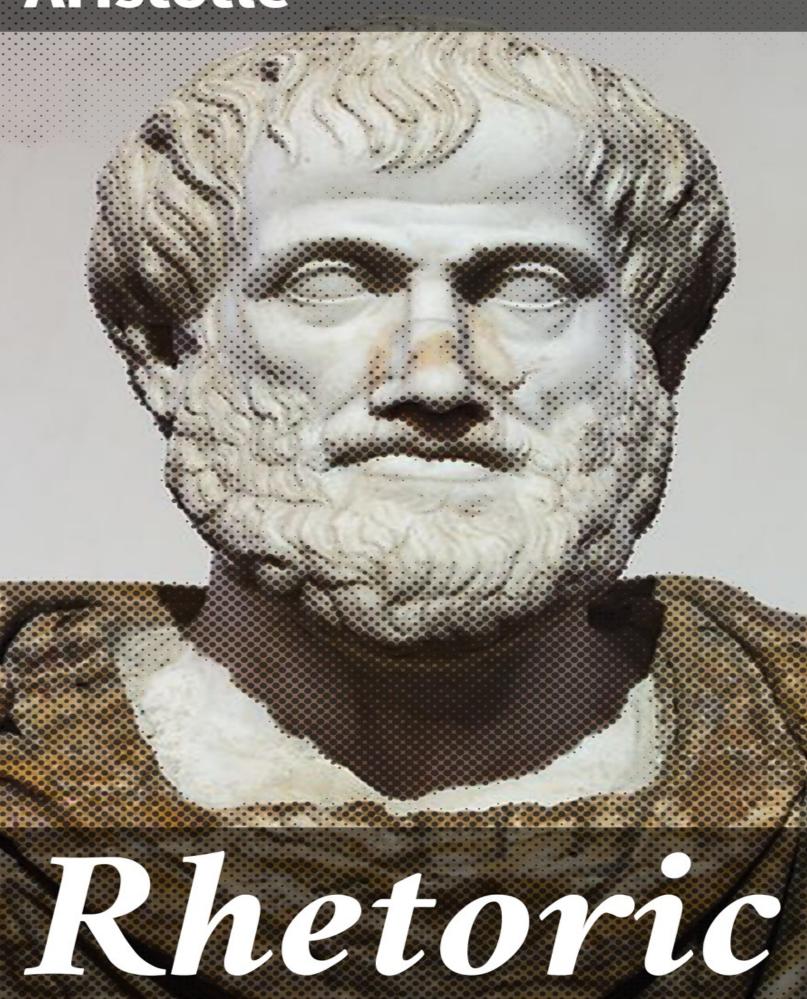
Aristotle



Aristotle

Rhetoric



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Chapter 1

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Rhetoric is a counterpart of Dialectic; for both have to do with matters that are in a manner within the cognizance of all men and not confined to any special science. Hence all men in a manner have a share of both; for all, up to a certain point, endeavor to criticize or uphold an argument, to defend themselves or to accuse. Now, the majority of people do this either at random or with a familiarity arising from habit. But since both these ways are possible, it is clear that matters can be reduced to a system, for it is possible to examine the reason why some attain their end by familiarity and others by chance; and such an examination all would at once admit to be the function of an art.

Now, previous compilers of "Arts" of Rhetoric have provided us with only a small portion of this art, for proofs are the only things in it that come within the province of art; everything else is merely an accessory. And yet they say nothing about enthymemes which are the body of proof, but chiefly devote their attention to matters outside the subject; for the arousing of prejudice, compassion, anger, and similar emotions has no connection with the matter in hand, but is directed only to the dicast. The result would be that, if all trials were now carried on as they are in some States, especially those that are well administered, there would be nothing left for the rhetorician to say. For all men either think that all the laws ought so to prescribe, or in fact carry out the principle and forbid speaking outside the subject, as in the court of Areopagus, and in this they are right. For it is wrong to warp the dicast's feelings, to arouse him to anger, jealousy or compassion, which would be like making the rule crooked which one intended to use. Further, it is evident that the only business of the litigant is to prove that the fact in question is or is not so, that it has happened or not; whether it is important or unimportant, just or unjust, in all cases in which the legislator has not laid down a ruling, is a matter for the dicast himself to decide; it is not the business of the litigants to instruct him.

First of all, therefore, it is proper that laws, properly enacted, should themselves define the issue of all cases as far as possible, and leave as little as possible to the discretion of the judges; in the first place, because it is easier to find one or a few men of good sense, capable of framing laws and pronouncing judgements, than a large number; secondly, legislation is the result of long consideration, whereas judgements are delivered on the spur of the moment, so that it is difficult for the judges properly to decide questions of justice or expediency. But what is most important of all is that the judgement of the legislator does not apply to a particular case, but is universal and applies to the future, whereas the member of the public assembly and the dicast have to decide present and definite issues, and in their case love, hate, or personal interest is often involved, so that they are no longer capable of discerning the truth adequately, their judgement being obscured by their own pleasure or pain.

All other cases, as we have just said, should be left to the authority of the judge as seldom as possible, except where it is a question of a thing having happened or not, of its going to happen or not, of being or not being so; this must be left to the discretion of the judges, for it is impossible for the legislator to foresee such questions. If this is so, it is obvious that all those who definitely lay down, for instance, what should be the contents of the exordium or the narrative, or of the other parts of the discourse, are bringing under the rules of art what is outside the subject; for the only thing to which their attention is devoted is how to put the judge into a certain frame of mind. They give no account of the artificial proofs, which make a man a master of rhetorical argument.

Hence, although the method of deliberative and forensic Rhetoric is the same, and although the pursuit of the former is nobler and more worthy of a statesman than that of the latter, which is limited to transactions between private citizens, they say nothing about the former, but without exception endeavor to bring forensic speaking under the rules of art. The reason of this is that in public speaking it is less worth while to talk of what is outside the subject, and that deliberative oratory lends itself to trickery less than forensic, because it is of more general interest. For in the assembly the judges decide upon their own affairs, so that the only thing necessary is to prove the truth of the statement of one who recommends a measure, but in the law courts this is not sufficient: there it is useful to win over the hearers, for the decision concerns other interests than those of the judges, who, having only themselves to consider and listening merely for their own pleasure, surrender to the pleaders but do not give a real decision.

That is why, as I have said before, in many places the law prohibits speaking outside the subject in the law courts, whereas in the assembly the judges themselves take adequate precautions against this.

It is obvious, therefore, that a system arranged according to the rules of art is only concerned with proofs; that proof is a sort of demonstration, since we are most strongly convinced when we suppose anything to have been rhetorical demonstrated: that demonstration is an enthymeme, which, generally speaking, is the strongest of rhetorical proofs and lastly, that the enthymeme is a kind of syllogism. Now, as it is the function of Dialectic as a whole, or of one of its parts, to consider every kind of syllogism in a similar manner, it is clear that he who is most capable of examining the matter and forms of a syllogism will be in the highest degree a master of rhetorical argument, if to this he adds a knowledge of the subjects with which enthymemes deal and the differences between them and logical syllogisms. For, in fact, the true and that which resembles it come under the purview of the same faculty, and at the same time men have a sufficient natural capacity for the truth and indeed in most cases attain to it: wherefore one who divines well in regard to the truth will also be able to divine well in regard to probabilities.

It is clear, then, that all other rhetoricians bring under the rules of art what is outside the subject, and have rather inclined to the forensic branch of oratory. Nevertheless, Rhetoric is useful, because the true and the just are naturally superior to their opposites, so that, if decisions are improperly made, they must owe their defeat to their own

advocates; which is reprehensible. Further, in dealing with certain persons, even if we possessed the most accurate scientific knowledge, we should not find it easy to persuade them by the employment of such knowledge. For scientific discourse is concerned with instruction, but in the case of such persons instruction is impossible; our proofs and arguments must rest on generally accepted principles, as we said in the Topics, when speaking of converse with the multitude. Further, the orator should be able to prove opposites, as in logical arguments; not that we should do both (for one ought not to persuade people to do what is wrong), but that the real state of the case may not escape us, and that we ourselves may be able to counteract false arguments, if another makes an unfair use of them. Rhetoric and Dialectic alone of all the arts prove opposites; for both are equally concerned with them. However, it is not the same with the subject matter, but, generally speaking, that which is true and better is naturally always easier to prove and more likely to persuade. Besides, it would be absurd if it were considered disgraceful not to be able to defend oneself with the help of the body, but not disgraceful as far as speech is concerned, whose use is more characteristic of man than that of the body. If it is argued that one who makes an unfair use of such faculty of speech may do a great deal of harm, this objection applies equally to all good things except virtue, and above all to those things which are most useful, such as strength, health, wealth, generalship; for as these, rightly used, may be of the greatest benefit, so, wrongly used, they may do an equal amount of harm.

It is thus evident that Rhetoric does not deal with any one definite class of subjects, but, like Dialectic, [is of general application]; also, that it is useful; and further, that its function is not so much to persuade, as to find out in each case the existing means of persuasion. The same holds good in respect to all the other arts. For instance, it is not the function of medicine to restore a patient to health, but only to promote this end as far as possible; for even those whose recovery is impossible may be properly treated. It is further evident that it belongs to Rhetoric to discover the real and apparent means of persuasion, just as it belongs to Dialectic to discover the real and apparent syllogism. For what makes the sophist is not the faculty but the moral purpose. But there is a difference: in Rhetoric, one who acts in accordance with sound argument, and one who acts in accordance with moral purpose, are both called rhetoricians; but in Dialectic it is the moral purpose that makes the sophist, the dialectician being one whose arguments rest, not on moral purpose but on the faculty.

Let us now endeavor to treat of the method itself, to see how and by what means we shall be able to attain our objects. And so let us as it were start again, and having defined Rhetoric anew, pass on to the remainder of the subject.

Chapter 2

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Rhetoric then may be defined as the faculty of discovering the possible means of persuasion in reference to any subject whatever. This is the function of no other of the arts, each of which is able to instruct and persuade in its own special subject; thus, medicine deals with health and sickness, geometry with the properties of magnitudes, arithmetic with number, and similarly with all the other arts and sciences. But Rhetoric, so to say, appears to be able to discover the means of persuasion in reference to any given subject. That is why we say that as an art its rules are not applied to any particular definite class of things.

As for proofs, some are artificial, others inartificial. By the latter I understand all those which have not been furnished by ourselves but were already in existence, such as witnesses, tortures, contracts, and the like; by the former, all that can be constructed by system and by our own efforts. Thus we have only to make use of the latter, whereas we must invent the former.

Now the proofs furnished by the speech are of three kinds. The first depends upon the moral character of the speaker, the second upon putting the hearer into a certain frame of mind, the third upon the speech itself, in so far as it proves or seems to prove.

The orator persuades by moral character when his speech is delivered in such a manner as to render him worthy of confidence; for we feel confidence in a greater degree and more readily in persons of worth in regard to everything in general, but where there is no certainty and there is room for doubt, our confidence is absolute. But this confidence must be due to the speech itself, not to any preconceived idea of the speaker's character; for it is not the case, as some writers of rhetorical treatises lay down in their "Art," that the worth of the orator in no way contributes to his powers of persuasion; on the contrary, moral character, so to say, constitutes the most effective means of proof. The orator persuades by means of his hearers, when they are roused to emotion by his speech; for the judgements we deliver are not the same when we are influenced by joy or sorrow, love or hate; and it is to this alone that, as we have said, the present-day writers of treatises endeavor to devote their attention. (We will discuss these matters in detail when we come to speak of the emotions.) Lastly, persuasion is produced by the speech itself, when we establish the true or apparently true from the means of persuasion applicable to each individual subject.

Now, since proofs are effected by these means, it is evident that, to be able to grasp them, a man must be capable of logical reasoning, of studying characters and the virtues, and thirdly the emotions—the nature and character of each, its origin, and the manner in which it is produced. Thus it appears that Rhetoric is as it were an offshoot of Dialectic and of the science of Ethics, which may be reasonably called Politics. That is why Rhetoric assumes the character of Politics, and those who claim to possess it, partly from ignorance, partly from boastfulness, and partly from other human weaknesses, do the same. For, as we said at the outset, Rhetoric is a sort of division or likeness of Dialectic, since neither of them is a science that deals with the nature of any definite subject, but they are merely faculties of furnishing arguments. We have now said nearly enough about the faculties of these arts and their mutual relations.

But for purposes of demonstration, real or apparent, just as Dialectic possesses two modes of argument, induction and the syllogism, real or apparent, the same is the case in Rhetoric; for the example is induction, and the enthymeme a syllogism, and the apparent enthymeme an apparent syllogism. Accordingly I call an enthymeme a rhetorical syllogism, and an example rhetorical induction. Now all orators produce belief by employing as proofs either examples or enthymemes and nothing else; so that if, generally speaking, it is necessary to prove any fact whatever either by syllogism or by induction—and that this is so is clear from the Analytics—each of the two former must be identical with each of the two latter. The difference between example and enthymeme is evident from the Topics, where, in discussing syllogism and induction, it has previously been said that the proof from a number of particular cases that such is the rule, is called in Dialectic induction, in Rhetoric example; but when, certain things being posited, something different results by reason of them, alongside of them, from their being true, either universally or in most cases, such a conclusion in Dialectic is called a syllogism, in Rhetoric an enthymeme.

It is evident that Rhetoric enjoys both these advantages —for what has been said in the *Methodica* holds good also in this case—for rhetorical speeches are sometimes characterized by examples and sometimes by enthymemes, and orators themselves may be similarly distinguished by their fondness for one or the other. Now arguments that depend on examples are not less calculated to persuade, but those which depend upon enthymemes meet with greater approval. Their origin and the way in which each should be used will be discussed later; for the moment let us define more clearly these proofs themselves.

Now, that which is persuasive is persuasive in reference to some one, and is persuasive and convincing either at once and in and by itself, or because it appears to be proved by propositions that are convincing; further, no art has the particular in view, medicine for instance what is good for Socrates or Callias, but what is good for this or that class of persons (for this is a matter that comes within the province of an art, whereas the particular is infinite and cannot be the subject of a true science); similarly, therefore, Rhetoric will not consider what seems probable in each individual case, for instance to Socrates or Hippias, but that which seems probable to this or that class of persons. It is the same with Dialectic, which does not draw conclusions from any random premises—for even madmen have some fancies -but it takes its material from subjects which demand reasoned discussion, as Rhetoric does from those which are common subjects of deliberation.

The function of Rhetoric, then, is to deal with things about which we deliberate, but for which we have no systematic rules; and in the presence of such hearers as are unable to take a general view of many stages, or to follow a lengthy chain of argument. But we only deliberate about things which seem to admit of issuing in two ways; as for those things which cannot in the past, present, or future be otherwise, no one deliberates about them, if he supposes that they are such; for nothing would be gained by it. Now, it is possible to draw conclusions and inferences partly from what has been previously demonstrated syllogistically, partly from what has not. which however needs demonstration, because it is not probable. The first of these methods is necessarily difficult to follow owing to its length, for the judge is supposed to be a simple person; the second will obtain little credence, because it does not depend upon what is either admitted or probable. The necessary result then is that the enthymeme and the example are concerned with things which may, generally speaking, be other than they are, the example being a kind of induction and the enthymeme a kind of syllogism, and deduced from few premises, often from fewer than the regular syllogism; for if any one of these is well known, there is no need to mention it, for the hearer can add it himself. For instance, to prove that Dorieus was the victor in a contest at which the prize was a crown, it is enough to say that he won a victory at the Olympic games; there is no need to add that the prize at the Olympic games is a crown, for everybody knows it.

But since few of the propositions of the rhetorical syllogism are necessary, for most of the things which we judge and examine can be other than they are, human actions, which are the subject of our deliberation and examination, being all of such a character and, generally speaking, none of them necessary; since, further, facts which only generally happen or are merely possible can only be demonstrated by other facts of the same kind, and necessary facts by necessary propositions (and that this is so is clear from the *Analytics*), it is evident that the materials from which enthymemes are derived will be sometimes necessary, but for the most part only generally true; and these materials being probabilities and signs, it follows that these two elements must correspond to these two kinds of propositions, each to each. For that which is probable is that which generally happens, not however unreservedly, as some define it, but that which is concerned with things that may be other than they are, being so related to that in regard to which it is probable as the universal to the particular. As to signs, some are related as the particular to the universal, others as the universal to the particular. Necessary signs are called *tekmeria*; those which are not necessary have no distinguishing name. I call those necessary signs from which a logical syllogism can be constructed, wherefore such a sign is called *tekmerion*; for when people think that their arguments are irrefutable, they think that they are bringing forward a *tekmerion*, something as it were proved and concluded; for in the old language *tekmar* and *peras* have the same meaning (limit, conclusion).

Among signs, some are related as the particular to the universal; for instance, if one were to say that all wise men are just, because Socrates was both wise and just. Now this is a sign, but even though the particular statement is true, it can be refuted, because it cannot be reduced to syllogistic form. But if one were to say that it is a sign that a man is ill, because he has a fever, or that a woman has had a child because she has milk, this is a necessary sign. This alone among signs is a *tekmērion*; for only in this case, if the fact is true, is the argument irrefutable. Other signs are related as the universal to the particular, for instance, if one were to say that it is a sign that this man has a fever, because he breathes hard; but even if the fact be true, this argument also can be refuted, for it is possible for a man to breathe hard without having a fever. We have now explained the meaning of probable, sign, and necessary sign, and the difference between them; in the *Analytics* we have defined them more clearly and stated why some of them can be converted into logical syllogisms, while others cannot.

We have said that example is a kind of induction and with what kind of material it deals by way of induction. It is neither the relation of part to whole, nor of whole to part, nor of one whole to another whole, but of part to part, of like to like, when both come under the same genus, but one of them is better known than the other. For example, to prove that Dionysius is aiming at a tyranny, because he asks for a bodyguard, one might say that Pisistratus before him and Theagenes of Megara did the same, and when they obtained what they asked for made themselves tyrants. All the other tyrants known may serve as an example of Dionysius, whose reason, however, for asking for a bodyguard we do not yet know. All these examples are contained under the same universal proposition, that one who is aiming at a tyranny asks for a bodyguard.

We have now stated the materials of proofs which are thought to be demonstrative. But a very great difference between enthymemes has escaped the notice of nearly every one, although it also exists in the dialectical method of syllogisms. For some of them belong to Rhetoric, some syllogisms only to Dialectic, and others to other arts and faculties, some already existing and others not yet established. Hence it is that this escapes the notice of the speakers, and the more they specialize in a subject, the more they transgress the limits of Rhetoric and Dialectic. But this will be clearer if stated at greater length.

I mean by dialectical and rhetorical syllogisms those which are concerned with what we call "topics," which may be applied alike to Law, Physics, Politics, and many other sciences that differ in kind, such as the topic of the more or less, which will furnish syllogisms and enthymemes equally well for Law, Physics, or any other science whatever, although these subjects differ in kind. Specific topics on the other hand are derived from propositions which are peculiar to each species or genus of things; there are, for example, propositions about Physics which can furnish neither enthymemes nor syllogisms about Ethics, and there are propositions concerned with Ethics which will be useless for furnishing conclusions about Physics; and the same holds good in all cases. The first kind of topics will not make a man practically wise about any particular class of things, because they do not deal with any particular subject matter; but as to the specific topics, the happier a man is in his choice of propositions, the more he will unconsciously produce a science guite different from Dialectic and Rhetoric. For if once he hits upon first principles, it will no longer be Dialectic or Rhetoric, but that science whose principles he has arrived at. Most enthymemes are constructed from these specific topics, which are called particular and special, fewer from those that are common or universal. As then we have done in the *Topics*, so here we must distinguish the specific and universal topics, from which enthymemes may be constructed. By specific topics I mean the propositions peculiar to each class of things, by universal those common to all alike. Let us then first speak of the specific topics, but before doing so let us ascertain the different kinds of Rhetoric, so that, having determined their number, we may separately ascertain their elements and propositions.

Chapter 3

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The kinds of Rhetoric are three in number, corresponding to the three kinds of hearers. For every speech is composed of three parts: the speaker, the subject of which he treats, and the person to whom it is addressed, I mean the hearer, to whom the end or object of the speech refers. Now the hearer must necessarily be either a mere spectator or a judge, and a judge either of things past or of things to come. For instance, a member of the general assembly is a judge of things to come; the dicast, of things past; the mere spectator, of the ability of the speaker. Therefore there are necessarily three kinds of rhetorical speeches, deliberative, forensic, and epideictic.

The deliberative kind is either hortatory or dissuasive; for both those who give advice in private and those who speak in the assembly invariably either exhort or dissuade. The forensic kind is either accusatory or defensive; for litigants must necessarily either accuse or defend. The epideictic kind has for its subject praise or blame.

Further, to each of these a special time is appropriate: to the deliberative the future, for the speaker, whether he exhorts or dissuades, always advises about things to come; to the forensic the past, for it is always in reference to things done that one party accuses and the other defends; to the epideictic most appropriately the present, for it is the existing condition of things that all those who praise or blame have in view. It is not uncommon, however, for epideictic speakers to avail themselves of other times, of the past by way of recalling it, or of the future by way of anticipating it.

Each of the three kinds has a different special end, and as there are three kinds of Rhetoric, so there are three special ends. The end of the deliberative speaker is the expedient or harmful; for he who exhorts recommends a course of action as better, and he who dissuades advises against it as worse; all other considerations, such as justice and injustice, honor and disgrace, are included as accessory in reference to this. The end of the forensic speaker is the just or the unjust; in this case also all other considerations are included as accessory. The end of those who praise or blame is the honorable and disgraceful; and they also refer all other considerations to these. A sign that what I have stated is the end which each has in view is the fact that sometimes the speakers will not dispute about the other points. For example, a man on trial does not always deny that an act has been committed or damage inflicted by him, but he will never admit that the act is unjust; for otherwise a trial would be unnecessary. Similarly, the deliberative orator, although he often sacrifices everything else, will never admit that he is recommending what is inexpedient or is dissuading from what is useful; but often he is guite showing that the about indifferent enslavement of neighboring peoples, even if they have done no harm, is not an act of injustice. Similarly, those who praise or blame do not consider whether a man has done what is expedient or harmful, but frequently make it a matter for praise that, disregarding his own interest, he performed some deed of honor. For example, they praise Achilles because he went to

the aid of his comrade Patroclus, knowing that he was fated to die, although he might have lived. To him such a death was more honorable, although life was more expedient.

From what has been said it is evident that the orator must first have in readiness the propositions on these three subjects. Now, necessary signs, probabilities, and signs are the propositions of the rhetorician; for the syllogism universally consists of propositions, and the enthymeme is a syllogism composed of the propositions above mentioned. Again, since what is impossible can neither have been done nor will be done, but only what is possible, and since what has not taken place nor will take place can neither have been done nor will be done, it is necessary for each of the three kinds of orators to have in readiness propositions dealing with the possible and the impossible, and as to whether anything has taken place or will take place, or not. Further, since all, whether they praise or blame, exhort or dissuade, accuse or defend, not only endeavor to prove what we have stated, but also that the same things, whether good or bad, honorable or disgraceful, just or unjust, are great or small, either in themselves or when compared with each other, it is clear that it will be necessary for the orator to be ready with propositions dealing with greatness and smallness and the greater and the less, both universally and in particular; for instance, which is the greater or less good, or act of injustice or justice; and similarly with regard to all other subjects. We have now stated the topics concerning which the orator must provide himself with propositions; after this, we must distinguish between each of them individually, that is, what the three kinds of Rhetoric, deliberative, epideictic, and forensic, are concerned with.

Chapter 4

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We must first ascertain about what kind of good or bad things the deliberative orator advises, since he cannot do so about everything, but only about things which may possibly happen or not. Everything which of necessity either is or will be, or which cannot possibly be or come to pass, is outside the scope of deliberation. Indeed, even in the case of things that are possible advice is not universally appropriate; for they include certain advantages, natural and accidental, about which it is not worth while to offer advice. But it is clear that advice is limited to those subjects about which we take counsel; and such are all those which can naturally be referred to ourselves and the first cause of whose origination is in our own power; for our examination is limited to finding out whether such things are possible or impossible for us to perform.

However, there is no need at present to endeavor to enumerate with scrupulous exactness or to classify those subjects which men are wont to discuss, or to define them as far as possible with strict accuracy, since this is not the function of the rhetorical art but of one that is more intelligent and exact, and further, more than its legitimate subjects of inquiry have already been assigned to it. For what we have said before is true: that Rhetoric is composed of analytical science and of that branch of political science which is concerned with Ethics, and that it resembles partly Dialectic and partly sophistical arguments. But in proportion as anyone endeavors to make of Dialectic or Rhetoric, not what they are, faculties, but sciences, to that extent he will, without knowing it, destroy their real nature, in thus altering their character, by crossing over into the domain of sciences, whose subjects are certain definite things, not merely words. Nevertheless, even at present we may mention such matters as it is worth while to analyze, while still leaving much for political science to investigate.

Now, we may say that the most important subjects about which all men deliberate and deliberative orators harangue, are five in number, to wit: ways and means, war and peace, the defence of the country, imports and exports, legislation.

Accordingly, the orator who is going to give advice on ways and means should be acquainted with the nature and extent of the State resources, so that if any is omitted it may be added, and if any is insufficient, it may be increased. Further, he should know all the expenses of the State, that if any is superfluous, it may be removed, or, if too great, may be curtailed. For men become wealthier, not only by adding to what they already possess, but also by cutting down expenses. Of these things it is not only possible to acquire a general view from individual experience, but in view of advising concerning them it is further necessary to be well informed about what has been discovered among others.

In regard to war and peace, the orator should be acquainted with the power of the State, how great it is already and how great it may possibly become; of what kind it is already and what additions may possibly be made to it; further, what wars it has waged and its conduct of them. These things he should be acquainted with, not only as far