PARADOX The Nine Greatest Enigmas in Science Professor Jim Al-Khalili

About the Book

This book is about my own personal favourite puzzles and conundrums in science, all of which have famously been referred to as paradoxes, but which turn out not to be paradoxes at all when considered carefully and viewed from the right angle.

A true paradox is a statement that leads to a circular and self-contradictory argument, or one that describes a logically impossible situation. Our subject is 'perceived paradoxes' – thought experiments or questions that on first encounter seem impossible to answer, but which science has been able to solve.

Our tour of these mind-expanding puzzles will take us through some of the greatest hits of science – from Einstein's theories about space and time to the latest ideas of how the quantum world works. Some of our paradoxes may be familiar, such as that of Schrödinger's famous cat, which is seemingly alive and dead at the same time; or the Grandfather Paradox – if you travelled back in time and killed your grandfather you would not have been born and would not therefore have killed your grandfather. Other paradoxes will be new to you but no less bizarre and fascinating.

We will ask such questions as: how does the fact that it gets dark at night prove the Universe must have started with a big bang? Where are all the aliens? And why does the length of a piece of string vary depending on how fast it is moving? In resolving our paradoxes we will have to travel to the furthest reaches of the Universe and explore the very essence of space and time. Hold on tight.

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Paradox

The Nine Greatest Enigmas in Science

Professor Jim Al-Khalili

To Julie, David and Kate

Acknowledgements

I HAVE HAD tremendous fun writing this book. Much of its content has slowly accumulated over the course of my career teaching undergraduate physics, where I have used many of the paradoxes discussed and dissected in the following chapters as examples in my lectures to highlight and explain difficult concepts in relativity and quantum theory. Having said that, I must thank several people for their advice and support over the past year. My literary agent, Patrick Walsh, has, as always, provided unstinting friendly encouragement, as has my editor at Transworld, Simon Thorogood, and Vanessa Mobley at Crown. I am also hugely indebted to my copy-editor Gillian Somerscales for her many helpful comments, corrections and persistence in getting me to make my explanations as clear as possible. I would also like thank the hundreds t.o many undergraduate students whom I have taught over the years at the University of Surrey for 'keeping me honest' when it comes to the subtler aspects of modern physics. Last but not least, I wish to thank my wife, Julie, for her unflagging support and encouragement in everything I do.

Preface

PARADOXES COME IN all shapes and sizes. Some are straightforward paradoxes of logic with little potential for investigation, while others sit atop icebergs of entire scientific disciplines. Many can be resolved by careful consideration of their underlying assumptions, one or more of which may be faulty. These, strictly speaking, should not be referred to as paradoxes at all, since once a puzzle is solved it ceases to be a paradox.

A true paradox is a statement that leads to a circular and self-contradictory argument, or describes a situation that is logically impossible. But the word 'paradox' does tend to be used more broadly to include what I prefer to call 'perceived paradoxes'. For such puzzles there is a way out. It may be that the paradox has hidden within it a trick or sleight of hand that deliberately misleads the listener or reader. Once the trick is uncovered, the contradiction or logical absurdity disappears. Another type of perceived paradox is one in which the statement and the conclusions, while initially sounding absurd or at the very least counterintuitive, turn out on more careful consideration not to be so, even if the result remains somewhat surprising.

And then there is the category of paradoxes in physics. All of these – well, nearly all – can be resolved with a little bit of fundamental scientific knowledge; and these are the ones that I focus on in this book.

So let us first take a brief look at a true logical paradox, just so that it is clear what I am *not* going to be talking about. This is a statement that is constructed in such a way that there really is no way out of the loop.

Take the simple assertion: 'This statement is a lie.' On first reading, I imagine the words themselves will seem straightforward enough. Think about their meaning, however, and the logical paradox will become evident as you work carefully through the statement's implications. Can five simple words really give you a headache? If so, I would argue that it is a fun sort of headache, which is perhaps itself a paradox, and one that you will no doubt feel sadistically obliged to pass on to family and friends.

You see, 'This statement is a lie' is telling you that in announcing itself to be a lie it must itself be a lie, and so it is not a lie – in which case it is true, which is to say that it really is a lie, which means it is not a lie, and so on in an infinite loop.

There are many such paradoxes. This book is not about them.

This book is instead about my own personal favourite puzzles and conundrums in science, all of which have famously been referred to as paradoxes, but which turn out not to be when considered carefully and viewed from the right angle. While powerfully counterintuitive when first described, they always turn out to be missing some subtle consideration of the physics which, when taken into account, knocks out one of the pillars on which the paradox is built and brings the whole edifice toppling down. Despite having been resolved, many of them continue to be referred to as paradoxes, partly owing to the notoriety they gained when first articulated (before we had figured out where we were going wrong) and partly because, so presented, they are useful tools in helping scientists get their heads round some rather complex concepts. Oh, and because they are such delicious fun to explore.

Many of the puzzles we will look at do indeed seem at first to be true paradoxes rather than just perceived ones. That is the point. Take a simple version of the famous time travel paradox: what if you were to go back into the past using a time machine and kill your younger self? What happens to the killer you? Do you pop out of existence because you stopped yourself from growing older? If so, and you never did reach the age at which you became a murderous time traveller, then who killed the younger you? The older you has the perfect alibi: you never even existed! So if you did not survive to travel back in time and kill your younger self, then you do not kill your younger self and so you do survive to grow older and travel back in time and kill yourself, so you do that, so you don't survive, and so on. This appears to be the perfect logical paradox. And yet physicists have not yet ruled out the possibility, certainly in theory, of time travel. So how can we extricate ourselves from this paradoxical loop? I will discuss this particular paradox in Chapter 7.

all perceived paradoxes require a scientific background to make sense of them. To demonstrate this, I have given over the first chapter to a handful of such paradoxes that can be resolved commonsense logic. What do I mean by this? Well, consider a simple statistical paradox in which it is quite possible to draw the wrong conclusion from a basic correlation: it is known that towns with larger numbers of churches generally have higher crime rates. This is somewhat paradoxical, unless you believe that churches are breeding grounds for lawlessness and crime - which, whatever your religious and moral views, seems pretty unlikely. But the resolution is straightforward. Both a higher number of churches and a higher absolute level of crime are the natural results of a larger population. Just because A leads to B and A leads to C does not mean that B leads to C or vice versa.

Here is another example of a simple brainteaser that paradoxical when first stated. but paradoxical nature dissolves away once it has been explained. It was recounted to me a few years ago by a Scottish professor of physics who is a colleague and close friend of mine. He claims that 'every Scotsman who travels south to England raises the average IQ of both countries'. The point is this: since all Scotsmen claim to be smarter than all Englishmen, then any one of them would enhance the average IQ of England by living there; however, to leave Scotland is such a foolish act that only those less intelligent among them would do so, leaving the average IQ of those remaining slightly higher. So you see, at first glance it sounds like a paradoxical statement, yet with simple logical reasoning it can be resolved beautifully - if not convincingly for the English, of course.

Once we have had some fun in <u>Chapter 1</u> with a few well-known paradoxes that can be resolved without any science, we will move on to my nine chosen paradoxes in physics. After stating each one, I will lay it bare and explain how it evaporates away to reveal the underlying logic that shows its fallacy, or why it is not really an issue at all. They are all fun because they have some intellectual meat, and because there is a way out. You just need to know where to look, where to find the Achilles heel that can be exploited with careful prodding and a better understanding of the science, until the paradox is a paradox no more.

The names of some of these paradoxes will be familiar. Take the Paradox of Schrödinger's Cat, for instance, in which an unfortunate feline is locked into a sealed box and is simultaneously both dead and alive until we open the box. Less familiar, perhaps, but still known to some, is Maxwell's demon, the mythical entity that presides over another sealed box and which is seemingly able to violate that most sacred of commandments in science, the Second Law of Thermodynamics – forcing the contents of the box to

un-mix and become ordered. To understand such paradoxes, and their resolution, it is necessary to grasp some background science; and so I have set myself the challenge of getting these scientific concepts across with as little fuss as possible, so that you can appreciate and enjoy the implications without any in-depth knowledge of calculus, thermodynamics or quantum mechanics.

I have plucked several of the other paradoxes in this book from the undergraduate course on relativity that I have taught for the past fourteen years. Einstein's ideas on space and time, for instance, provide fertile ground for logical brainteasers such as the Pole in the Barn Paradox, the Paradox of the Twins and the Grandfather Paradox. Others, such as those involving the cat and the demon, have, in the eyes of some, yet to be satisfactorily laid to rest.

When choosing my greatest enigmas in physics, I have not homed in on the biggest unsolved problems – for example, what dark matter and dark energy, which between them make up 95 per cent of the contents of our universe, are made of, or what, if anything, was there before the Big Bang. These are incredibly difficult and profound questions to which science has yet to find answers. Some, like the nature of dark matter, that mysterious stuff that makes up most of the mass of galaxies, may well be answered in the near future if particle accelerators like the Large Hadron Collider in Geneva continue to make new and exciting discoveries; others, like an accurate description of a time before the Big Bang, may remain unanswered for ever.

What I have aimed to do is make a sensible and broad selection. All the paradoxes I discuss in the following chapters deal with deep questions about the nature of time and space and the properties of the Universe on the very largest and smallest of scales. Some are predictions of theories that sound very strange on first encounter, but

which become intelligible once the ideas behind the theory are explored carefully. Let's see if we can't lay them all to rest, and along the way give you, dear reader, some mind-expanding fun.

ONE

The Game Show Paradox

Simple probabilities that can really blow your mind

BEFORE I GET stuck into the physics, I thought I would lead you in gently with a few simple, entertaining and frustrating puzzles as a warm-up. In common with the rest of the collection in this book, none of these are real paradoxes at all; they just need to be unpicked carefully. But unlike those coming later, which will require an understanding of the underlying physics, the paradoxes in this chapter are a collection of logical brainteasers that can be resolved without any scientific background at all. The last and most delicious of the group, known as the Monty Hall Paradox, is so utterly baffling that I will invest considerable effort in analysing it in several different ways so you can choose which particular solution you prefer.

All the puzzles in this chapter fall into one of two categories with the exotic-sounding names of 'veridical' and 'falsidical' paradoxes. A veridical paradox is one leading to a conclusion that is counterintuitive because it goes against common sense, and yet can be shown to be true using careful, often deceptively simple, logic. In fact, with these the fun lies in trying to find the most convincing way of demonstrating that it is true, despite that lingering

uncomfortable feeling that there has to be a catch somewhere. Both the Birthday Paradox, which I will discuss shortly, and the Monty Hall Paradox are in this category.

A falsidical paradox, on the other hand, starts off perfectly sensibly, yet somehow ends up with an absurd result. However, in this case the apparently absurd result is indeed false, thanks to some subtly misleading or erroneous step in the proof.

Examples of falsidical paradoxes are the mathematical tricks that, by following a few steps of algebra, 'prove' something like 2 = 1. No amount of logic or philosophizing should convince you that this can be true. I won't go into any of these here, mainly since I don't really want to be hitting you with algebra just in case you don't love it as much as I do. Suffice it to say that the calculation leading to the 'solution' usually involves a step in which a quantity is divided by zero – something any self-respecting mathematician knows to avoid at all costs. Instead, I will focus on a few problems that you can appreciate with only the bare minimum of mathematical ability. I'll begin with two great falsidical paradoxes: the Riddle of the Missing Dollar and Bertrand's Box Paradox.

The Riddle of the Missing Dollar

This is a brilliant puzzle that I used a few years ago when I was a guest on a TV quiz show called *Mind Games* – not that I am claiming to have been the first to come up with it, of course. The premise of the show was that each week the guests would compete against each other to solve puzzles set by the host of the show, the mathematician Marcus du Sautoy. In addition, each was expected to bring along their favourite brainteasers to try to bamboozle the other team.

Here is how it goes:

Three travellers check into a hotel for the night. The young man at the reception desk charges them \$30 for a room with three beds in it. They agree to split the price of the room equally, each of them paying \$10. They take the key and head up to the room to settle in. After a few minutes the receptionist realizes he has made a mistake. The hotel has a special offer on all week and he should only have charged them \$25 for the room. So as not to get into trouble with his manager, he quickly takes five dollar bills from the till and rushes up to rectify his error. On the way to the room he realizes that he cannot split the five dollars equally between the three men, so he decides to give each of them one dollar and keep two for himself. That way, he argues, everyone is happy. Here, then, is the problem we are left with: each of the three friends will have contributed \$9 towards the room. That makes \$27 that the hotel has made, and the receptionist has a further \$2, which makes \$29. What has happened to the last dollar out of the original \$30?

You may be able to see the solution to this straight away; I certainly didn't when I first heard it. So I will let you think about it a little before you read on.

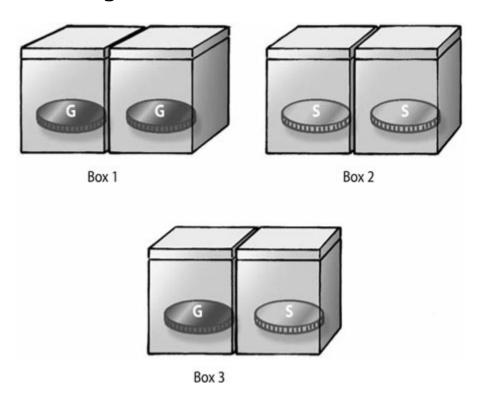
Have you worked it out? You see, this puzzle only sounds paradoxical because of the misleading way it is stated. The error in the reasoning is that I added the \$27 dollars to the \$2 taken by the receptionist – and there is no reason to do that, because there is no longer a total of \$30 that needs to be accounted for. The receptionist's \$2 should be *subtracted* from the \$27 paid by the friends, leaving \$25, which is the amount in the till.

Bertrand's Box Paradox

My second example of a falsidical paradox is credited to the nineteenth-century French mathematician Joseph Bertrand. (It is not his most famous paradox, which is rather more mathematically technical.)

You have three boxes, each containing two coins; each box is divided into two halves by a partition, with a coin in each half. Each side can be opened separately to see the coin inside (that is, without allowing you to see the other coin). One box contains two gold coins (we will call this one GG), the second (which we will call SS) contains two silver coins and the third (GS) contains one of each. What is the probability of picking the box with the gold and silver coins in it? The answer is simple, of course: one in three. That is not the puzzle.

Figure 1.1. Bertrand's boxes



Now, pick a box at random. What if you open one of its lids and find a gold coin inside? What now are the chances of this box being the GS one? Well, since in finding a gold coin you know this cannot be the SS box, you must rule that option out and be left with two choices: either it is the GG box or it is the GS box. Hence the probability of its being the GS box is one in two, right?

Had you opened the lid to find a silver coin instead, then you could now rule out the GG option; so you are left with SS or GS, so the probability that this is the GS box is still one in two.

Since you must find either a gold or a silver coin when you open the lid of the chosen box, and since there are three coins of each kind in total, giving you an equal chance of finding either, there is therefore a one in two probability that you have found the GS box whatever coin you find. Thus, after taking a peek inside one half of your chosen box the overall probability that it is the GS box must change from one in three, as it was at the start, to one in two. But how can seeing one of the coins change the probability like this? If you choose a box at random and, before opening one of its lids, you know that there is a one in three chance that it is the GS one, then how, by seeing one of the coins inside, and gaining no information at all from this, since you know you are certain to find either a gold or a silver coin anyway, does the probability switch from one in three to one in two? Where are we going wrong?

The answer is that the probability is always one in three and never one in two, whether you see one of the coins in the box or not. Consider the case when you find a gold coin inside your box. There are three gold coins in total – let us call them G1, G2 and G3, and let us say that the GG box contains coins G1 and G2, while G3 is the gold coin in the GS box. If you open one of the boxes and find a gold coin inside, there is a two in three chance of your having picked

the GG box, since the coin you are looking at could be either G1 or G2. There is only a one in three chance that it is the G3 coin and therefore that the box you have picked is the GS one.

The Birthday Paradox

This is one of the best-known veridical paradoxes. Unlike the last two examples, there is no trick here, no error in the reasoning or sleight-of-hand in the telling. Whether you are convinced by the solution or not, I must stress that it is perfectly correct and consistent, both mathematically and logically. In a way, this frustration makes the paradox all the more fun.

Here is how it is stated:

How many people would you say there would have to be in a room for the chances of any two of them sharing a birthday to be better than fifty-fifty - that is, for it to be more likely than not that any two share a birthday?

Let us first apply a little naïve common sense (which of course is going to turn out to be wrong). Since there are 365 days in a year, imagine there is a lecture hall with 365 empty seats. One hundred students enter the hall and each of them takes a seat at random. Some friends may wish to sit next to each other, a few prefer the anonymity of the back row so they can fall asleep undetected, while the more studious prefer to be closer to the front. But it does not matter how they distribute themselves; the fact remains that more than two-thirds of the seats will remain empty. Of course, no student will sit on a seat already occupied, but we sort of feel that the chance of any two students wanting

the same seat is pretty slim, given how much space they have to spread out in.

If we now apply this commonsense approach to the birthday problem, we might think that the chance of any of the hundred students sharing a birthday is equally slim, given that there are as many days to choose from as there are seats. Of course, there may well be some birthday buddies, but intuitively we would think that this is less likely than not.

Naturally, with a group of 366 people (leaving leap years aside), it needs no explaining how we can be certain that at least two will share a birthday. But things get interesting when we reduce the number of people.

In fact, incredible as it may seem, you need only fifty-seven people in the room for the probability of any two sharing a birthday to be as high as 99 per cent. That is, with only fifty-seven people, it's almost certain that two of them will share a birthday! This in itself sounds hard enough to believe. But as for the answer to the puzzle, the number above which it is 'more likely than not' that two share a birthday (that is, for the probability to be more than one-half) is considerably lower than fifty-seven. In fact, it is just *twenty-three* people!

Most people find this result very startling the first time they hear it, and continue to feel uneasy about it even when assured it's correct – because it is intuitively so difficult to believe. So let's go through the maths, which I will try to do as clearly as possible.

First, we keep the problem as simple as we can by assuming we are not dealing with a leap year, that all days in the year are equally probable for birthdays and that there are no twins in the room.

The mistake many people make is to think it is to do with comparing two numbers: the number of people in the room and the number of days in a year. Thus, since the twenty-three people have a choice of 365 days to have

birthdays on, it seems far more likely than not that they will all avoid each other. But this way of looking at the problem is misleading. You see, for people to share birthdays we require *pairs* of people, not individuals, and we must consider the number of different pairs available. Let's start with the simplest case: with just three people there are three pairs: A-B, A-C and B-C. But with four people there are six pairs: A-B, A-C, A-D, B-C, B-D, C-D. With twenty-three people we find that there are 253 different pairs. You see how much easier it becomes to believe that one of these 253 pairs of people will share a birthday from a choice of 365 days.

The way to work out the probability correctly is to start with one pair, keep adding people and see how the probability of birthday sharing changes. This is done by working out not the probability of sharing, but rather the probability of each new person avoiding all other birthdays so far. Thus, the probability of the second person avoiding the birthday of the first is $364 \div 365$, because he has all but one of the days in the year to pick from. The probability of the third person avoiding the birthdays of the first and second is then 363 ÷ 365. But we cannot forget about the first two people still having to avoid each other's birthdays too (the $364 \div 365$ number). In probability theory, when we want to work out the chances of two different things happening at the same time, we must multiply the probability of the first and the probability of the second together. So the probability of the second person avoiding the birthday of the first, and of the third avoiding those of the first and second, is: $364/365 \times 363/365 = 0.9918$. Finally, if this is the probability of all three avoiding each other's birthdays, the probability of any two of the three sharing a birthday is 1 - 0.9918 = 0.0082. So the probability of sharing between just three people is pretty tiny, as you might expect.

We now carry on with this process – adding people one by one and building up the chain of multiplied fractions to work out the probability of everyone avoiding everyone else – until the answer we get drops below 0.5, i.e. 50 per cent. This is, of course, the point at which the probability of any pair *sharing* a birthday rises above 50 per cent. We find we need twenty-three fractions, hence twenty-three people:

$$\frac{364}{365} \times \frac{363}{365} \times \frac{362}{365} \times \frac{361}{365} \times \frac{360}{365} \times \dots = 0.4927 \dots$$

← 23 fractions multiplied together →

And so the probability of any two of the twenty-three people in the room sharing a birthday is:

$$1 - 0.4927 = 0.5073 = 50.73\%$$
.

This puzzle has required some probability theory to solve it. The next one is, in a way, more straightforward. This I think makes it all the more incredible. It is my favourite veridical paradox because it is so easy to state, so easy to explain, and yet so hard to fathom.

The Monty Hall Paradox

This puzzle has its origins in Bertrand's Box Paradox and is an example of the power of what mathematicians call 'conditional probability'. It is based on an earlier puzzle called the Three Prisoners Problem, described by the American mathematician Martin Gardner in his 'Mathematical Games' column of the magazine *Scientific American* in 1959. But the Monty Hall Paradox is, I believe, a superior and much clearer adaptation. It is so called because it was first cast in the form of a scenario from the

long-running US television game show *Let's Make a Deal*, presented by the charismatic Canadian, Monte Hall, who, on entering into show business, altered the spelling of his first name to Monty.

Steve Selvin is an American statistician and professor at the University of California in Berkeley. He is a renowned educator who has won awards for his teaching and academic, applied mentoring. As an he has mathematical expertise to medicine, specifically in the field of biostatistics. However, he owes his worldwide fame not to these considerable achievements but to an amusing article he wrote on the Monty Hall Paradox. It was published in the February 1975 edition of an academic journal called The American Statistician and took up just half a single page.

Selvin could never have anticipated that his short article would have such a huge impact - after all, *The American* Statistician was a specialist journal read mainly by academics and educators - and indeed, fifteen years would pass before the problem he posed and solved burst into the popular consciousness. In September 1990 a reader of Parade magazine, a weekly publication boasting a US circulation in the tens of millions, submitted a puzzle to its 'Ask Marilyn' column, in which Marilyn vos Savant questions readers' responds to and solves their puzzles, brainteasers mathematical and logical conundrums. Vos Savant first rose to fame in the mid-1980s when she made it into *The Guinness Book of Records* for having the world's highest IQ (measured to be 185). The writer of this particular 'Ask Marilyn' entry was Craig F. Whitaker, and he essentially put to vos Savant a revised version of Selvin's Monty Hall Paradox. What followed was nothing short of remarkable.

The publication of the problem in *Parade* and Marilyn vos Savant's response brought it to nationwide, then worldwide, attention. Her answer, though completely

counterintuitive, was, like Selvin's original solution, utterly correct. But it immediately spawned a host of letters to the magazine from incensed mathematicians eager to declare her wrong. Here are some extracts from three of them:

As a professional mathematician, I'm very concerned with the general public's lack of mathematical skills. Please help by confessing your error and in the future being more careful.

You blew it, and you blew it big! You seem to have difficulty grasping the basic principle at work here ... There is enough mathematical illiteracy in this country, and we don't need the world's highest IQ propagating more. Shame!

May I suggest that you obtain and refer to a standard textbook on probability before you try to answer a question of this type again?

I am in shock that after being corrected by at least three mathematicians, you still do not see your mistake.

Maybe women look at math problems differently than men.

Well, what a lot of angry people. And what a lot of subsequent egg on faces. Savant revisited the problem in a later issue and held her ground, arguing her case clearly and conclusively – as you might expect from someone with an IQ of 185. The story eventually made it on to the front page of the *New York Times* – and yet still the debate raged on (as you can see if you care to search for it online).

It may be starting to sound to you as though this paradox is so difficult to resolve that only a genius can

really get their head round it. Not so. In fact, there are many simple ways of explaining it, and the internet is full of articles, blogs – even YouTube videos – that do so.

Anyway, enough of the teasing and historical rambling – let me get straight to the problem. I think it only fair to begin by quoting Steve Selvin's amusing original 1975 version in *The American Statistician*.

A PROBLEM IN PROBABILITY

It is 'Let's Make a Deal' - a famous TV show starring Monty Hall.

Monty Hall: One of the three boxes labelled A, B, and C contains the keys to that new 1975 Lincoln Continental. The other two are empty. If you choose the box containing the keys, you win the car.

Contestant: Gasp!

Monty Hall: Select one of these boxes.

Contestant: I'll take box B.

Monty Hall: Now box A and box C are on the table and here is box B (contestant grips box B tightly). It is possible the car keys are in that box! I'll give you \$100 for the box.

Contestant: No, thank you.

Monty Hall: How about \$200?

Contestant: No!

Audience: No!!

Monty Hall: Remember that the probability of your box containing the keys to the car is 1 in 3 and the probability of your box being empty is 2 in 3. I'll give you \$500.

Audience: No!!

Contestant: No, I think I'll keep this box.

Monty Hall: I'll do you a favour and open one of the remaining boxes on the table (he opens box A). It's empty! (Audience: applause). Now either box C or your box B contains the car keys. Since there are two boxes left, the probability of your box containing the keys is now 1 in 2. I'll give you \$1000 cash for your box.

WAIT!!!!

Is Monty right? The contestant knows that at least one of the boxes on the table is empty. He now knows it was box A. Does this knowledge change his probability of having the box containing the keys from 1 in 3 to 1 in 2? One of the boxes on the table has to be empty. Has Monty done the contestant a favour by showing him which of the two boxes was empty? Is the probability of winning the car 1 in 2 or 1 in 3?

Contestant: I'll trade you my box B for the box C on the table.

Monty Hall: That's weird!!

HINT: The contestant knows what he is doing!