

# The Structural Transformation of the Public Sphere

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**JÜRGEN HABERMAS**



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**The Structural Transformation  
of the Public Sphere**

An Inquiry into a Category of  
Bourgeois Society

Jürgen Habermas

Translated by Thomas Burger  
with the assistance of Frederick Lawrence

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**To Wolfgang Abendroth in gratitude**





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## Introduction

There is no good reason why *Strukturwandel der Öffentlichkeit*, one of Habermas's most influential and widely translated works, should not have appeared in English sooner. That would likely have facilitated the reception of his thought among Anglo-American scholars by showing how the more abstract and theoretical concerns of his later work arose out of the concrete issues raised in this study. *The Structural Transformation of the Public Sphere* is a historical-sociological account of the emergence, transformation, and disintegration of the bourgeois public sphere. It combines materials and methods from sociology and economics, law and political science, and social and cultural history in an effort to grasp the preconditions, structures, functions, and inner tensions of this central domain of modern society. As a sphere between civil society and the state, in which critical public discussion of matters of general interest was institutionally guaranteed, the liberal public sphere took shape in the specific historical circumstances of a developing market economy. In its clash with the arcane and bureaucratic practices of the absolutist state, the emergent bourgeoisie gradually replaced a public sphere in which the ruler's power was merely represented *before* the people with a sphere in which state authority was publicly monitored through informed and critical discourse *by* the people.

Habermas traces the interdependent development of the literary and political self-consciousness of this new class, weaving together accounts of the rise of the novel and of literary

and political journalism and the spread of reading societies, salons, and coffee houses into a *Bildungsroman* of this “child of the eighteenth century.” He notes the contradiction between the liberal public sphere’s constitutive catalogue of “basic rights of man” and their de facto restriction to a certain class of men. And he traces the tensions this occasioned as, with the further development of capitalism, the public body expanded beyond the bourgeoisie to include groups that were systematically disadvantaged by the workings of the free market and sought state regulation and compensation. The consequent intertwining of state and society in the late nineteenth and the twentieth centuries meant the end of the liberal public sphere. The public sphere of social-welfare-state democracies is rather a field of competition among conflicting interests, in which organizations representing diverse constituencies negotiate and compromise among themselves and with government officials, while excluding the public from their proceedings. Public opinion is, to be sure, taken into account, but not in the form of unrestricted public discussion. Its character and function are indicated rather by the terms in which it is addressed: “public opinion research,” “publicity,” “public relations work,” and so forth. The press and broadcast media serve less as organs of public information and debate than as technologies for managing consensus and promoting consumer culture.

While the historical structures of the liberal public sphere reflected the particular constellation of interests that gave rise to it, the idea it claimed to embody—that of rationalizing public authority under the institutionalized influence of informed discussion and reasoned agreement—remains central to democratic theory. In a post-liberal era, when the classical model of the public sphere is no longer sociopolitically feasible, the question becomes: can the public sphere be effectively reconstituted under radically different socioeconomic, political and cultural conditions? In short, is democracy possible? One could do worse than to view Habermas’s work in the twenty-five years since *Strukturwandel* through the lens of this question. That is not, however, the only or the best reason for publishing this English edition now. The contingencies of intellectual history

have placed us in a situation that is particularly well disposed to its appearance:

- Feminist social theorists, having identified institutional divisions between the public and the private as a thread running through the history of the subordination of women will find here a case study in the sociostructural transformation of a classic form of that division.
- Political theorists, having come to feel the lack of both large-scale social analysis and detailed empirical inquiry in the vast discussion centering around Rawls's normative theory of justice, will appreciate this empirical-theoretical account of the network of interdependencies that have defined and limited the democratic practice of justice.
- Literary critics and theorists who have grown dissatisfied with purely textual approaches will be interested in Habermas's cultural-sociological account of the emergence of the literary public sphere and its functioning within the broader society.
- Comparative-historical sociologists will see here an exemplary study that manages to combine a macroanalysis of large-scale structural changes with interpretive access to the shifting meanings by and to which actors are oriented.
- Political sociologists will discover that familiar problems of democratic political participation, the relation of economy to polity, and the meaning of public opinion are cast in a new light by Habermas's theoretical perspective and historical analysis.
- Communications and media researchers will profit not only from Habermas's account of the rise of literary journalism and the subsequent transformation of the press into one of several mass media of a consumer society, but also from the framework for future research that this account suggests.
- Legal theorists will discover here a way of critically analyzing the gaps between claim and reality which avoids the dead end of pure deconstruction.

In all of these areas, to be sure, significant work has been done since Habermas first published this study. But I think it fair to

say that no single work, or body of work, has succeeded in fusing these disparate lines of inquiry into a unified whole of comparable insight and power. In this respect it remains paradigmatic.

Thomas McCarthy  
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## Translator's Note

Habermas's *The Structural Transformation of the Public Sphere* contains a number of terms that present problems to the translator. One of these, *Öffentlichkeit*, which appears in the very title of the book, may be rendered variously as "(the) public," "public sphere," or "publicity." Whenever the context made more than one of these terms sensible, "public sphere" was chosen as the preferred version.

Habermas distinguishes several types of *Öffentlichkeit*:

*politische Öffentlichkeit*: "political public sphere" (or sometimes the more cumbersome "public sphere in the political realm")

*literarische Öffentlichkeit*: "literary public sphere" (or "public sphere in the world of letters")

*repräsentative Öffentlichkeit*: "representative publicness" (i.e., the display of inherent spiritual power or dignity before an audience)

Another troublesome term is *bürgerlich*, an adjective related to the noun *Bürger*, which may be translated as "bourgeois" or "citizen." *Bürgerlich* possesses both connotations. In expressions such as "civil code," "civil society," "civic duty," "bourgeois strata," and "bourgeois family" the German term for "civil," "civic," and "bourgeois" is *bürgerlich*. *Bürgerlich* also means "middle class" in contrast to "noble" or "peasant." *Bürgerliche Öffentlichkeit* thus is difficult to translate adequately. For better or worse, it is rendered here as "bourgeois public sphere."

*Intimsphäre* denotes the core of a person's private sphere which by law, tact, and convention is shielded from intrusion; it is translated here as "intimate sphere."

Thomas Burger

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## Author's Preface

This investigation endeavors to analyze the type “bourgeois public sphere” (*bürgerliche Öffentlichkeit*). Its particular approach is required, to begin with, by the difficulties specific to an object whose complexity precludes exclusive reliance on the specialized methods of a single discipline. Rather, the category “public sphere” must be investigated within the broad field formerly reflected in the perspective of the traditional science of “politics.”<sup>1</sup> When considered within the boundaries of a particular social-scientific discipline, this object disintegrates. The problems that result from fusing aspects of sociology and economics, of constitutional law and political science, and of social and intellectual history are obvious: given the present state of differentiation and specialization in the social sciences, scarcely anyone will be able to master several, let alone all, of these disciplines.

The other peculiarity of our method results from the necessity of having to proceed at once sociologically and historically. We conceive bourgeois public sphere as a category that is typical of an epoch. It cannot be abstracted from the unique developmental history of that “civil society” (*bürgerliche Gesellschaft*) originating in the European High Middle Ages; nor can it be transferred, idealtypically generalized, to any number of historical situations that represent formally similar constellations. Just as we try to show, for instance, that one can properly speak of public opinion in a precise sense only with regard to late-seventeenth-century Great Britain and eighteenth-century

France, we treat public sphere in general as a historical category. In this respect our procedure is distinguished *a limine* from the approach of formal sociology whose advanced state nowadays is represented by so-called structural-functional theory. The sociological investigation of historical trends proceeds on a level of generality at which unique processes and events can only be cited as examples—that is, as cases that can be interpreted as instances of a more general social development. This sociological procedure differs from the practice of historiography strictly speaking in that it seems less bound to the specifics of the historical material, yet it observes its own equally strict criteria for the structural analysis of the interdependencies at the level of society as a whole.

After these two methodological preliminaries, we would also like to record a reservation pertaining to the subject matter itself. Our investigation is limited to the structure and function of the *liberal* model of the bourgeois public sphere, to its emergence and transformation. Thus it refers to those features of a historical constellation that attained dominance and leaves aside the *plebeian* public sphere as a variant that in a sense was suppressed in the historical process. In the stage of the French Revolution associated with Robespierre, for just one moment, a public sphere stripped of its literary garb began to function—its subject was no longer the “educated strata” but the uneducated “people.” Yet even this plebeian public sphere, whose continued but submerged existence manifested itself in the Chartist Movement and especially in the anarchist traditions of the workers’ movement on the continent, remains oriented toward the intentions of the bourgeois public sphere. In the perspective of intellectual history it was, like the latter, a child of the eighteenth century. Precisely for this reason it must be strictly distinguished from the plebiscitary-acclamatory form of regimented public sphere characterizing dictatorships in highly developed industrial societies. Formally they have certain traits in common; but each differs in its own way from the literary character of a public sphere constituted by private people putting reason to use—one is illiterate, the other, after a fashion, post-literary. The similarity with certain aspects of plebiscitary form cannot conceal the fact that these two variants

of the public sphere of bourgeois society (which in the context of the present investigation will be equally neglected) have also been charged with different political functions, each at a distinct stage of social development.

Our investigation presents a stylized picture of the liberal elements of the bourgeois public sphere and of their transformation in the social-welfare state.

I am grateful to the Deutsche Forschungsgemeinschaft for generous support. This work, with the exception of sections 13 and 14, was presented to the Philosophical Faculty at Marburg as my *Habilitationsschrift*.

J. H.  
Frankfurt, Autumn 1961



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# **The Structural Transformation of the Public Sphere**





# I

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## **Introduction: Preliminary Demarcation of a Type of Bourgeois Public Sphere**

### **1 The Initial Question**

The usage of the words “public” and “public sphere” betrays a multiplicity of concurrent meanings. Their origins go back to various historical phases and, when applied synchronically to the conditions of a bourgeois society that is industrially advanced and constituted as a social-welfare state, they fuse into a clouded amalgam. Yet the very conditions that make the inherited language seem inappropriate appear to require these words, however confused their employment. Not just ordinary language (especially as it bears the imprint of bureaucratic and mass media jargon) but also the sciences—particularly jurisprudence, political science, and sociology—do not seem capable of replacing traditional categories like “public” and “private,” “public sphere,” and “public opinion,” with more precise terms. Ironically, this dilemma has first of all bedeviled the very discipline that explicitly makes public opinion its subject matter. With the application of empirical techniques, the object that public-opinion research was to apprehend has dissolved into something elusive;<sup>1</sup> nevertheless sociology has refused to abandon altogether these categories; it continues to study public opinion.

We call events and occasions “public” when they are open to all, in contrast to closed or exclusive affairs—as when we speak of public places or public houses. But as in the expression “public building,” the term need not refer to general accessi-

bility; the building does not even have to be open to public traffic. "Public buildings" simply house state institutions and as such are "public." The state is the "public authority." It owes this attribute to its task of promoting the public or common welfare of its rightful members. The word has yet another meaning when one speaks of a "public [official] reception"; on such occasions a powerful display of representation is staged whose "publicity" contains an element of public recognition. There is a shift in meaning again when we say that someone has made a name for himself, has a public reputation. The notion of such personal prestige or renown originated in epochs other than that of "polite society."

None of these usages, however, have much affinity with the meaning most commonly associated with the category—expressions like "public opinion," an "outraged" or "informed public," "publicity," "publish," and "publicize." The subject of this publicity is the public as carrier of public opinion; its function as a critical judge is precisely what makes the public character of proceedings—in court, for instance—meaningful. In the realm of the mass media, of course, publicity has changed its meaning. Originally a function of public opinion, it has become an attribute of whatever attracts public opinion: public relations and efforts recently baptized "publicity work" are aimed at producing such publicity. The public sphere itself appears as a specific domain—the public domain versus the private. Sometimes the public appears simply as that sector of public opinion that happens to be opposed to the authorities. Depending on the circumstances, either the organs of the state or the media, like the press, which provide communication among members of the public, may be counted as "public organs."

A social-historical analysis of the syndrome of meanings possessed by "public" and "publicity" could uncover the essential sociological characteristics of the various historical language strata. The first etymological reference to the public sphere is quite revealing. In German the noun *Öffentlichkeit* was formed from the older adjective *öffentlich* during the eighteenth century,<sup>2</sup> in analogy to "*publicité*" and "publicity"; by the close of the century the word was still so little used that Heynatz could

consider it objectionable.<sup>3</sup> If the public sphere did not require a name of its own before this period, we may assume that this sphere first emerged and took on its function only at that time, at least in Germany. It was specifically a part of “civil society,” which at the same time established itself as the realm of commodity exchange and social labor governed by its own laws. Notions concerning what is “public” and what is not—that is, what is “private”—however, can be traced much further back into the past.

We are dealing here with categories of Greek origin transmitted to us bearing a Roman stamp. In the fully developed Greek city-state the sphere of the *polis*, which was common (*koine*) to the free citizens, was strictly separated from the sphere of the *oikos*; in the sphere of the *oikos*, each individual is in his own realm (*idia*). The public life, *bios politikos*, went on in the market place (*agora*), but of course this did not mean that it occurred necessarily only in this specific locale. The public sphere was constituted in discussion (*lexis*), which could also assume the forms of consultation and of sitting in the court of law, as well as in common action (*praxis*), be it the waging of war or competition in athletic games. (Strangers were often called upon to legislate, which was not properly one of the public tasks.) The political order, as is well known, rested on a patrimonial slave economy. The citizens were thus set free from productive labor; it was, however, their private autonomy as masters of households on which their participation in public life depended. The private sphere was attached to the house not by (its Greek) name only. Movable wealth and control over labor power were no more substitutes for being the master of a household and of a family than, conversely, poverty and a lack of slaves would in themselves prevent admission to the *polis*. Exile, expropriation, and the destruction of the house amounted to one and the same thing. Status in the *polis* was therefore based upon status as the unlimited master of an *oikos*. The reproduction of life, the labor of the slaves, and the service of the women went on under the aegis of the master's domination; birth and death took place in its shadow; and the realm of necessity and transitoriness remained immersed in the obscurity of the private sphere. In contrast to it stood, in Greek

self-interpretation, the public sphere as a realm of freedom and permanence. Only in the light of the public sphere did that which existed become revealed, did everything become visible to all. In the discussion among citizens issues were made topical and took on shape. In the competition among equals the best excelled and gained their essence—the immortality of fame. Just as the wants of life and the procurement of its necessities were shamefully hidden inside the *oikos*, so the *polis* provided an open field for honorable distinction: citizens indeed interacted as equals with equals (*homoioi*), but each did his best to excel (*aristoiein*). The virtues, whose catalogue was codified by Aristotle, were ones whose test lies in the public sphere and there alone receive recognition.

Since the Renaissance this model of the Hellenic public sphere, as handed down to us in the stylized form of Greek self-interpretation, has shared with everything else considered “classical” a peculiarly normative power.<sup>4</sup> Not the social formation at its base but the ideological template itself has preserved continuity over the centuries—on the level of intellectual history. To begin with, throughout the Middle Ages the categories of the public and the private and of the public sphere understood as *res publica* were passed on in the definitions of Roman law. Of course, they found a renewed application meaningful in the technical, legal sense only with the rise of the modern state and of that sphere of civil society separated from it. They served the political self-interpretation as well as the legal institutionalization of a public sphere that was bourgeois in a specific sense. Meanwhile, however, for about a century the social foundations of this sphere have been caught up in a process of decomposition. Tendencies pointing to the collapse of the public sphere are unmistakable, for while its scope is expanding impressively, its function has become progressively insignificant. Still, publicity continues to be an organizational principle of our political order. It is apparently more and other than a mere scrap of liberal ideology that a social democracy could discard without harm. If we are successful in gaining a historical understanding of the structures of this complex that today, confusedly enough, we subsume under the heading “public sphere,” we can hope to attain

thereby not only a sociological clarification of the concept but a systematic comprehension of our own society from the perspective of one of its central categories.

## **2 Remarks on the Type of Representative Publicness**

During the Middle Ages in Europe the contrast drawn in Roman law between *publicus* and *privatus*<sup>5</sup> was familiar but had no standard usage. The precarious attempt to apply it to the legal conditions of the feudal system of domination based on fiefs and manorial authority (*Grundherrschaft*) unintentionally provides evidence that an opposition between the public and private spheres on the ancient (or the modern) model did not exist. Here too an economic organization of social labor caused all relations of domination to be centered in the lord's household. Nevertheless, the feudal lord's position within the process of production was not comparable to the "private" authority of the *oikodespotes* or of the *pater familias*. While manorial authority (and its derivative, feudalism) as the quintessence of all lordly particular rights might be conceived of as a *jurisdictio*, it could not be fitted readily into the contrast between private dominion (*dominium*) and public autonomy (*imperium*). There were lower and higher "sovereignties," eminent and less eminent prerogatives; but there was no status that in terms of private law defined in some fashion the capacity in which private people could step forward into a public sphere. In Germany manorial authority, fully developed in the High Middle Ages, was transformed into private landed property only in the eighteenth century as part of the liberation of the peasants and the clearing of land holdings from feudal obligations. The domestic authority of the head of a household is not the same as private dominion, whether in the sense of classical law or in that of modern civil law. When the latter's categories were transferred to social conditions providing no basis for division between the public sphere and the private domain, difficulties arose:

If we think of the land as the public sphere, then the house and the authority exercised by its master must simply be considered a public

authority of the second order: it is certainly private in relation to that of the land to which it is subordinated, but surely in a sense very different from how the term is understood in modern private law. Thus it seems quite intelligible to me that "private" and "public" powers are so fused together into an indivisible unity that both are emanations from a single unified authority, that they are inseparable from the land and can be treated like legitimate private rights.<sup>6</sup>

It should be noted, however, that the tradition of ancient Germanic law, through the categories "*gemeinlich*" and "*sunderlich*," "common" and "particular," did generate a contrast that corresponded somewhat to the classical one between "*publicus*" and "*privatus*." That contrast referred to communal elements to the extent to which they survived under the feudal conditions of production. The commons was public, *publica*; for common use there was public access to the fountain and market square—*loci communes, loci publici*. The "particular" stood opposed to this "common," which etymologically is related to the common or public welfare (common wealth, public wealth). This specific meaning of "private" as "particular" reverberates in today's equation of special interests with private interests. Yet one should note that within the framework of feudalism the particular *also* included those who possessed special rights, that is, those with immunities and privileges. In this respect the particular (i.e., what stood apart), the exception through every sort of exemption, was the core of the feudal regime and hence of the realm that was "public." The original parallelism of Germanic and Roman legal categories was reversed as soon as they were absorbed by feudalism—the common man became the private man. A linguistic reminder of this relationship is the use of "private" in the sense of "common" soldier—the ordinary man without rank and without the particularity of a special power to command interpreted as "public." In medieval documents "lordly" and "*publicus*" were used synonymously; *publicare* meant to claim for the lord.<sup>7</sup> The ambivalence in the meaning of "*gemein*" (common) as "communal," that is, (publicly) accessible to all and "ordinary," that is, without special right (namely, lordly prerogative) and without official rank in general still reflects the integration of elements of communal (*genossenschaftlich*) organization into a social structure based on manorial authority.<sup>8</sup>